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# 1 Introduction

A balance of alternatively spliced (AS) transcript isoforms is critical for a normal phenotype, and dysregulated expression might result in phenotypic and clinical alterations in certain individuals and groups [1]. For example, the expression ratio (denoted as PSI: Percent Spliced In) of AS transcript isoforms or AS exons is modulated in genes that are associated with many complex diseases, such as cancer and diabetes [2]. Moreover, molecular factors that affect the expression ratio, such as genetic variations (sQTLs) and methylation (defined as me-sQTLs), give additional insight into the molecular mechanisms that explain the regulatory elements in splicing machinery [2]. The Bioconductor R package IMAS offers two components. First, IMAS provides an integrative analysis tool to detect differential AS events (e.g., exon skipping, intron retention, and 3- and 5-prime alternative splicing sites) using paired and junction reads that are created from high-throughput RNA-seq data. Second, IMAS links AS exons that are differentially expressed across groups, defined as PSI, to molecular factors (eg, SNPs and DNA methylation). IMAS can identify sQTLs and me-sQTLs to interpret their molecular functions. In addition, IMAS can incorporate the clinical information of a patient and determine whether exon skipping or inclusion affects the clinical outcomes of disease groups Statistical methods (linear regression model [4] or generalized linear mixed model [3] in sQTLs) are used to identify significant differences in PSI values across groups, sQTLs, me-sQTLs, and clinical outcome associations. IMAS can accept mapped bam files, which may be created from several mapping tools, such as bwa [5] and bowtie [6]. IMAS analyzes all paired-end and junction reads that are extracted using Rsamtools. Moreover, all functions of this package accept a simple FPKM matrix dataset of transcripts (output file format of assemble tools, such as cufflinks) through IVAS, which is an R/bioconductor package for identifying genetic variants that affect alternative splicing patterns with the FPKM matrix dataset. IMAS also provides a function for visualizing all identified AS transcript isoforms, sQTLs, me-sQTLs, and clinical outcomes.

# 2 The input data set

This subsection introduces the input data. To run the *IMAS* package, a GTF file is required as a reference transcript model. Based on this AS transcript model, AS exons are tabulated. Moreover, *IMAS* accepts the mapped bam file to estimate PSI values in a given AS exon. If the PSI is calculated with simple expression matrix data that consist of FPKM values of isoforms through *IVAS*, the PSI dataset can be analyzed by all functions of *IMAS*. To discover AS exons with differential PSI values between

groups, the specific groups for each individual are required. To identify sQTLs, a genotype data frame for each polymorphism and its position are required. The sQTL analysis is carried out using sQTLsFinder in the *IVAS* package. To identify me-sQTLs, the expression and position of methylation loci are needed. Clinical information, such as survival status and survival time, is inputted for survival analysis.

### 2.1 The transcript model data

The GTF file including gene structures such as genomic coordinate (position) of all exons and transcripts are required. The GTF file should be loaded with <a href="TxDb">TxDb</a> object in the <a href="GenomicFeatures">GenomicFeatures</a> package.

### 2.2 The expression data

To calculate PSI values of AS exons, *IMAS* uses a mapped bam file as experimental expression data from RNA-seq. The paths of all bam files can be assigned a variable. An example is described in Section 4, Loading data. Also, the PSI values can be calculated from the FPKM matrix dataset through RatioFromFPKM (examples of the method with FPKM are described in the *IVAS* package).

	path	names
1	./extdata/bamfiles/sample1.bam	ind1
2	./extdata/bamfiles/sample2.bam	ind2
3	./extdata/bamfiles/sample3.bam	ind3
4	./extdata/bamfiles/sample4.bam	ind4

# 2.3 The difference in splicing ratio of a given exon between Groups

Once the PSI values are calculated for each AS exon from the mapped bam files or FPKM dataset, then with the PSI, one can identify differentially expressed exons between groups For this analysis, groups for each sample are required as the list type of R. The names of the list data must be labeled "GroupA" and "GroupB."

\$GroupA ind1 ind4 \$GroupB ind2 ind3

# 2.4 The genotype and genomic position dataset of SNPs

To identify polymorphisms that are associated with the splicing ratio (PSI) of a given exon, the genotype data should be prepared as simple matrix data. *IMAS* carries out this analysis using sqtlfinder in the *IVAS* package. Details and examples are described in the *IVAS* package.

### 2.5 The expression and position dataset of methylation

*IMAS* identifies methylation loci that are associated with the splicing ratio (PSI) of a given exon. A dataset needs to include the expression of methylation (i.e., beta value) and the genomic position of each methylation. In this file, each row and column label the methylation IDs and individual names, respectively.

The expressions of methylations

```
ind1 ind2 ind3 ind4
methyl1 14.4 20.2 21.2 20.1
methyl2 7.4 7.2 6.2 10.1
methyl3 13.2 15.8 11.2 15.9
```

The positions of methylations

Methyl	CHR	locus
methyl1	1	4834015
methyl2	11	61523247
methyl3	11	2382791

#### 2.6 Clinical information

The clinical information of matched samples that have expression data is used to test the association of a splicing ratio (PSI) of a given exon with clinical outcomes. Clinical information must include the survival status and survival time, which are the first and second columns in the file, respectively. In addition, each row represents an individual, labeled with an ID.

	status	sur_time
ind1	0	100
ind2	1	302
ind3	1	402
ind4	0	81

# 3 Example dataset

We generated our own simulation data for 50 breast cancer patients as an example data set in order to demonstrate how to use function in the Manual of the *IMAS* package. The simulation dataset comprises clinical data, random group assignment to PR-positive or negative, mapped reads, methylation status for five loci, and genotypes for five SNPs. As a demonstration case, we define an exon located in chr11:100962491-100962607 in the PRGA gene. The exon is assumed to have differential PSI values between two groups, PR-positive and PR-negative. The differential exon expression is also assumed to be associated with SNP and methylation level. These simulation data can be loaded from the *IMAS* package.

# 4 Loading data

For this analysis, load the following data and an object from *IMAS*, the group information for each individual, the genotypes and genomic coordinates of SNPs, the expression and genomic coordinates of methylation, clinical information, and the TxDb object from GTF.

Installation of *IMAS* package :

```
> if (!requireNamespace("BiocManager", quietly=TRUE))
+    install.packages("BiocManager")
> BiocManager::install("IMAS")
```

Loading IMAS package:

> library(IMAS)

Loading expression data:

```
> data(bamfilestest)
> ext.dir <- system.file("extdata", package="IMAS")
> samplebamfiles[,"path"] <-
+ paste(ext.dir,"/samplebam/",samplebamfiles[,"path"],".bam",sep="")</pre>
```

Loading group information:

> data(sampleGroups)

Loading SNP data :

> data(samplesnp)

Loading SNP position data:

```
> data(samplesnplocus)
Loading methylation expression data:
> data(samplemethyl)
Loading methylation position data:
> data(samplemethyllocus)
Loading clinical information data:
> data(sampleclinical)
Loading TxDb object :
> sampledb <- list.files(ext.dir,pattern="DB",full.names=TRUE)</pre>
> transdb <- loadDb(sampledb)</pre>
> transdb
TxDb object:
# Db type: TxDb
# Supporting package: GenomicFeatures
# Data source: ./test.ref
# Organism: NA
# Taxonomy ID: NA
# miRBase build ID: NA
# Genome: NA
# transcript_nrow: 8
# exon_nrow: 23
# cds_nrow: 11
# Db created by: GenomicFeatures package from Bioconductor
# Creation time: 2017-02-06 07:49:33 -0700 (Mon, 06 Feb 2017)
# GenomicFeatures version at creation time: 1.24.5
# RSQLite version at creation time: 1.1-2
# DBSCHEMAVERSION: 1.1
```

TxDb can be created from the GTF reference file using the makeTxDbFromGFF function in *GenomicFeatures* in R/Bioconductor.

# 5 Running *IMAS* for integrative alternative splicing analysis

All results from each function of the *IMAS* package are saved by adding slots in the ASdb object, which is a s4 class type object. The last row of the ASdb object indicates the names of currently saved slots. All functions of *IMAS* allow one to use a multi-thread through a foreach function.

## 5.1 Tabulating alternatively spliced exons

*IMAS* tabulates AS exons and categorizes them into four types of AS patterns: exon skipping, alternative 3-prime splice site, alternative 5-prime splice site, and intron retention. The <a href="Splicingfinder">Splicingfinder</a> function in the <a href="IVAS">IVAS</a> package is used in this step. If a single gene is inputted, AS exons are defined only as a single gene. The result will be saved in the slot, named "SplicingModel" of the ASdb object. To use this function:

```
> ASdb <- Splicingfinder(GTFdb=transdb,calGene="ENSG00000082175",Ncor=1)</pre>
[1] "------"
> ASdb <- ExonsCluster(ASdb, transdb)</pre>
> ASdb
Splicing Models : ES = 5 Rows & ASS = 2 Rows & IR = 0 Rows
#ASdb object with SplicingModel
> head(slot(ASdb, "SplicingModel")$"ES")
  Index EnsID
                         Nchr Strand 1stEX
1 "ES1" "ENSG00000082175" "11" "-" "100920660-100920790"
2 "ES2" "ENSG00000082175" "11" "-"
                                     "100933178-100933483"
3 "ES3" "ENSG00000082175" "11" "-"
                                     "100962491-100962607"
4 "ES4" "ENSG00000082175" "11" "-"
                                     "100933178-100933483"
5 "ES5" "ENSG00000082175" "11" "-"
                                     "100933178-100933483"
  2ndEX
                                             UpEX
                       DownEX
1 "NA"
                       "100912392-100912833" "100922155-100922299"
2 "NA"
                       "100922155-100922299" "100962491-100996889"
                       "100922155-100933483" "100996738-100996889"
4 "100962491-100962607" "100922155-100922299" "100996738-100996889"
5 "100962491-100962607" "100922155-100922299" "100996738-100996889"
 1st_des
                                           2nd_des
1 "100920660-100920738,100920660-100920790" "NA"
2 "100933178-100933483"
3 "100962491-100962607"
                                           "NA"
4 "100933178-100933483"
                                           "100962491-100962607"
```

```
5 "100933178-100933483"
                                             "100962491-100962607"
  Do_des
1 "100912392-100912833.100912676-100912833"
2 "100922155-100922299"
3 "100922155-100922299,100933178-100933483"
4 "100922155-100922299"
5 "100922155-100922299"
  Up_des
                                             Types
1 "100922155-100922201,100922155-100922299" "ES"
2 "100962491-100962607,100996738-100996889" "ES"
3 "100996738-100996889"
4 "100996738-100996889"
                                             "ES"
5 "100996738-100996889"
                                             "MXE"
```

As an example, search for sQTLs in the ENSG00000082175 gene. The first column, labeled "Index," is a unique name for each splicing model, and the index number of the first column is a generally used as an identifier, commonly used in other *IMAS* functions. One can use the argument for "out.dir" to save the results in a specific directory.

# 5.2 Estimating the expression ratio (Percent Spliced In) of AS exons with bam files of RNA-seg

The RatioFromReads function calculates the PSI values of AS exons, based on splicing models in the ASdb. The result will be saved in the "Ratio" slot of ASdb. This needs matrix data, including the paths of bam files and the sample names, which will be labeled in the columns of the results of this function in the first and second columns, respectively.

```
> ASdb <- RatioFromReads(ASdb=ASdb, samplebamfiles, readsInfo="paired",
      readLen=50, inserSize=40, minr=3, CalIndex="ES3", Ncor=1)
> ASdb
Splicing Models : ES = 5 Rows & ASS = 2 Rows & IR = 0 Rows
Ratio : ES = 1 Rows by 50 samples & ASS = 0 Rows by 0 samples & IR = 0 Rows by 0 samples
#ASdb object with SplicingModel & Ratio
> head(rbind(slot(ASdb, "Ratio")$"ES"[,1:20]))
     Index EnsID
                             Nchr 1stEX
[1,] "ES3" "ENSG00000082175" "11" "100962491-100962607" "NA"
     DownEX
                                                 Types BreastPatient_1
                          UpEX
[1,] "100922155-100933483" "100996738-100996889" "ES" "0.948307921160283"
     BreastPatient_10
                        BreastPatient_11
                                             BreastPatient_12
[1,] "0.954270674836534" "0.954270674836534" "0.948307921160283"
```

```
BreastPatient_13 BreastPatient_14 BreastPatient_15
[1,] "0.960176810051979" "0.942287730952875" "0.960176810051979"
BreastPatient_16 BreastPatient_17 BreastPatient_18
[1,] "0.948307921160283" "0.942287730952875" "0.954270674836534"
BreastPatient_19 BreastPatient_2
[1,] "0.954270674836534" "0.971822420433813"
```

As an example, one single index number, ES3, returns the PSI value of a splicing pattern, which is an AS exon located in chr11:100962491-100962607. One can use the argument for "out.dir" to save the results in a specific directory.

# 5.3 Detecting alternatively spliced exons with differential PSIs between groups

The CompGroupAlt function calculates significant differences in PSIs between groups using linear regression. The result will be saved in the "GroupDiff" slot of ASdb. The list object includes sample names for each group and is labeled "GroupA" and "GroupB." The sample names should be matched with the column names of the "Ratio" slot in the ASdb object, described above (5.2).

```
> ASdb <- CompGroupAlt(ASdb=ASdb, GroupSam=GroupSam, Ncor=1, CalIndex="ES3")
> ASdb

Splicing Models : ES = 5 Rows & ASS = 2 Rows & IR = 0 Rows
Ratio : ES = 1 Rows by 50 samples & ASS = 0 Rows by 0 samples & IR = 0 Rows by 0 samples
Differential Ratio : ES = 1 Rows & ASS = 0 Rows & IR = 0 Rows
#ASdb object with SplicingModel & Ratio & GroupDiff

> head(slot(ASdb, "GroupDiff")$"ES")

Index EnsID Nchr 1stEX 2ndEX
Diff.P "ES3" "ENSG00000082175" "11" "100962491-100962607" "NA"

DownEX UpEX Types Diff.P
Diff.P "100922155-100933483" "100996738-100996889" "ES" "9.41906778666016e-05"
Fdr.p
Diff.P "9.41906778666016e-05"
```

You can use the argument for "out.dir" to save your results in a specific directory.

# 5.4 sQTLs analysis

**sQTLsFinder** in the *IVAS* package is used to identify significant SNPs that are associated with AS ratio (PSI). The result will be saved in the slot labeled "sQTLs" of ASdb.

```
> ASdb <- sQTLsFinder(ASdb=ASdb, Total.snpdata=samplesnp, Total.snplocus=samplesnplocus,
      GroupSam=NULL, Ncor=1, CalIndex="ES3", method="lm")
> ASdb
Splicing Models : ES = 5 Rows & ASS = 2 Rows & IR = 0 Rows
Ratio : ES = 1 Rows by 50 samples & ASS = 0 Rows by 0 samples & IR = 0 Rows by 0 samples
Differential Ratio : ES = 1 Rows & ASS = 0 Rows & IR = 0 Rows
sQTLs : ES = 5 Rows & ASS = 0 Rows & IR = 0 Rows
#ASdb object with SplicingModel & Ratio & GroupDiff & sQTLs
> head(slot(ASdb, "sQTLs")$"ES")
        Index EnsID
                                 Nchr 1stEX
1 "SNP1" "ES3" "ENSG00000082175" "11" "100962491-100962607" "NA"
2 "SNP2" "ES3" "ENSG00000082175" "11" "100962491-100962607" "NA"
3 "SNP3" "ES3" "ENSG00000082175" "11" "100962491-100962607" "NA"
4 "SNP4" "ES3" "ENSG00000082175" "11" "100962491-100962607" "NA"
5 "SNP5" "ES3" "ENSG00000082175" "11" "100962491-100962607" "NA"
  DownEX
                        UpEX
                                              Types pByGeno
1 "100922155-100933483" "100996738-100996889" "ES" "0.00800343068370079"
2 "100922155-100933483" "100996738-100996889" "ES"
                                                    "0.00347996675111845"
3 "100922155-100933483" "100996738-100996889" "ES" "0.704647458467744"
4 "100922155-100933483" "100996738-100996889" "ES" "0.738751326919983"
5 "100922155-100933483" "100996738-100996889" "ES" "0.00307967379995783"
  FdrByGeno
                        diff
1 "0.0133390511395013" "diff" "lm"
2 "0.00869991687779613" "diff" "lm"
3 "0.738751326919983"
                        "diff" "lm"
4 "0.738751326919983" "diff" "lm"
5 "0.00869991687779613" "diff" "lm"
```

One can use the argument for "out.dir" to save the results in a specific directory.

### 5.5 me-sQTLs analysis

The MESQTLFinder function identifies methylation locus that may affect AS events. This MESQTLFinder function uses a linear regression model with the expression of each methylation as variables. The result will be saved in the "Me.sQTLs" slot of the ASdb object.

```
> ASdb <- MEsQTLFinder(ASdb=ASdb,Total.Medata=sampleMedata,Total.Melocus=sampleMelocus,
+ GroupSam=GroupSam,Ncor=1,CalIndex="ES3")
> ASdb

Splicing Models : ES = 5 Rows & ASS = 2 Rows & IR = 0 Rows
Ratio : ES = 1 Rows by 50 samples & ASS = 0 Rows by 0 samples & IR = 0 Rows by 0 samples
```

```
Differential Ratio : ES = 1 Rows & ASS = 0 Rows & IR = 0 Rows
sQTLs : ES = 5 Rows & ASS = 0 Rows & IR = 0 Rows
Me-sQTLs : ES = 5 Rows & ASS = 0 Rows & IR = 0 Rows
#ASdb object with SplicingModel & Ratio & GroupDiff & sQTLs & Me.sQTLs
> head(slot(ASdb, "Me.sQTLs")$"ES")
  MeID
             Index EnsID
                                                                 2ndEX
                                     Nchr 1stEX
1 "Methyl_1" "ES3" "ENSG00000082175" "11" "100962491-100962607" "NA"
2 "Methyl_2" "ES3" "ENSG00000082175" "11" "100962491-100962607" "NA"
3 "Methyl_3" "ES3" "ENSG00000082175" "11" "100962491-100962607" "NA"
4 "Methyl_4" "ES3" "ENSG00000082175" "11" "100962491-100962607" "NA"
5 "Methyl_5" "ES3" "ENSG00000082175" "11" "100962491-100962607" "NA"
  DownEX
                        UpEX
                                              Types pByMet
1 "100922155-100933483" "100996738-100996889" "ES"
                                                    "7.06767598610055e-05"
2 "100922155-100933483" "100996738-100996889" "ES"
                                                    "0.522194583543479"
3 "100922155-100933483" "100996738-100996889" "ES"
                                                    "0.182660148910586"
4 "100922155-100933483" "100996738-100996889" "ES"
                                                    "0.000536141211354368"
5 "100922155-100933483" "100996738-100996889" "ES" "0.000106433265859588"
  fdrByMet
                         pByGroups
                                                fdrByGroups
1 "0.00026608316464897" "3.99615688872986e-18" "9.99039222182465e-18"
2 "0.522194583543479"
                         "0.876097599018987"
                                                "0.876097599018987"
3 "0.228325186138232"
                         "0.332355282013401"
                                                "0.415444102516751"
4 "0.000893568685590613" "1.70066122503774e-18" "8.5033061251887e-18"
                         "2.28504465545911e-14" "3.80840775909852e-14"
5 "0.00026608316464897"
```

One can use the argument for "out.dir" to save the results in a specific directory.

# 5.6 Global effect of SNP and methylation for alternative splicing among individuals

The GroupSam parameter specifies a group for each individual. The default option for this parameter is that there is no specified group for grouping individuals. With this default option, the sQTLsFinder and MESQTLFinder functions identify sQTLs and me-sQTLs for a set of all individuals. The option of the parameters for assigning specific groups is set for each individual, which forms groups: GroupA and GroupB, as described in Section 5.3. This option identifies sQLs and me-sQTLs that are associated with GroupA and GroupB.

# 5.7 Clinical analysis

The ClinicAnalysis function identifies which exons ,that are differentially expressed in groups, are associated with clinical outcomes. The result of this analysis will be saved in the "Clinical" slot of ASdb.

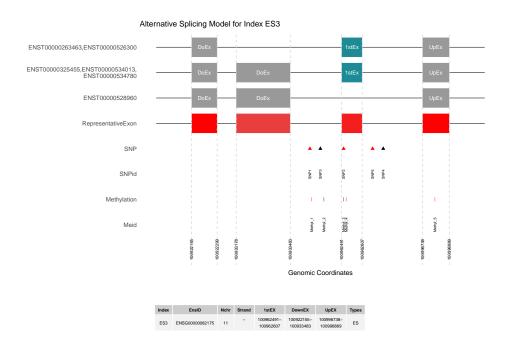
```
> ASdb <- ClinicAnalysis(ASdb=ASdb,ClinicalInfo=Clinical.data,Ncor=1,CalIndex="ES3")</pre>
> ASdb
Splicing Models : ES = 5 Rows & ASS = 2 Rows & IR = 0 Rows
Ratio : ES = 1 Rows by 50 samples & ASS = 0 Rows by 0 samples & IR = 0 Rows by 0 samples
Differential Ratio : ES = 1 Rows & ASS = 0 Rows & IR = 0 Rows
sQTLs : ES = 5 Rows & ASS = 0 Rows & IR = 0 Rows
Me-sQTLs : ES = 5 Rows & ASS = 0 Rows & IR = 0 Rows
Clinical Analysis : ES = 1 Rows & ASS = 0 Rows & IR = 0 Rows
#ASdb object with SplicingModel & Ratio & GroupDiff & sQTLs & Me.sQTLs & Clinical
> head(slot(ASdb, "Clinical")$"ES")
  Index EnsID
                          Nchr 1stEX
                                                      2ndEX
1 "ES3" "ENSG00000082175" "11" "100962491-100962607" "NA"
  DownFX
                                              Types Pvalue
1 "100922155-100933483" "100996738-100996889" "ES" "0.000875323192621613"
  Fdr.p
1 "0.000875323192621613"
```

You can use the argument for "out.dir" to save your results in a specific directory.

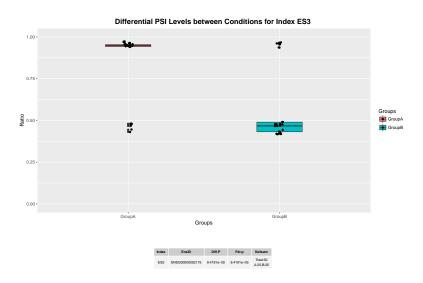
# 6 Visualizing the result

The ASvisualization function visualizes the information that is saved in ASdb slots, such as AS models, PSI values of AS exons, sQTLs, me-sQTLs, and clinical results. With an index number as an input of this function, the splicing model, SNP, methylation, clinical information is plotted and saved to one single pdf file that is named with the index number.

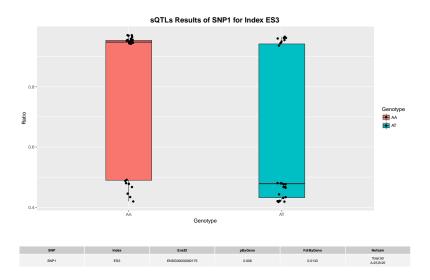
The plots of results from the example analysis are as follows:



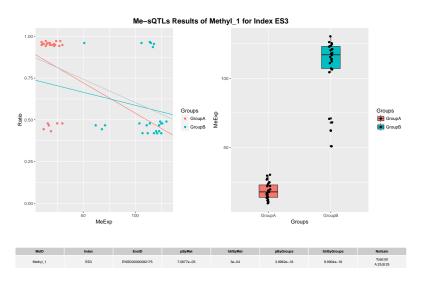
The first page of the pdf result file shows the splicing model of the inputted index. The x-axis and y-axis indicate the genomic coordinates and transcripts with each splicing pattern, respectively. The exon in cyan indicates the alternatively spliced target, and the grey represents neighboring exons. The representative exons are shown in red, and the brightness represents the number of transcripts that have the respective exons. The SNP and methylation information is shown below the exons. The significant SNPs and methylations have the red color.



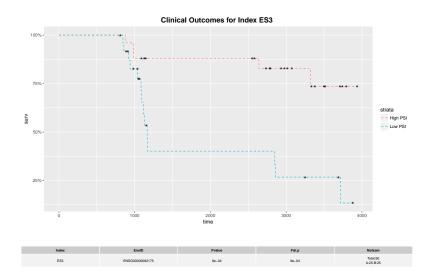
This plot shows the distribution of PSI values between two groups.



This plot shows the sQTL results. The distribution of PSI values across genotypes is shown as a boxplot.



The result of the significant Me-sQTLs is shown in two plots: the left panel is the distribution of PSI values versus methylation expression, and the right panel indicates the methylation levels in each group.



Expected survival outcomes of two groups, expressing high and low PSIs, are plotted.

# 7 Session Information

```
R version 3.6.0 (2019-04-26)
Platform: x86_64-pc-linux-gnu (64-bit)
Running under: Ubuntu 18.04.2 LTS
Matrix products: default
        /home/biocbuild/bbs-3.9-bioc/R/lib/libRblas.so
LAPACK: /home/biocbuild/bbs-3.9-bioc/R/lib/libRlapack.so
locale:
 [1] LC_CTYPE=en_US.UTF-8
                                LC_NUMERIC=C
 [3] LC_TIME=en_US.UTF-8
                                LC_COLLATE=C
 [5] LC_MONETARY=en_US.UTF-8
                                LC_MESSAGES=en_US.UTF-8
 [7] LC_PAPER=en_US.UTF-8
                                LC_NAME=C
 [9] LC_ADDRESS=C
                                LC_TELEPHONE=C
[11] LC_MEASUREMENT=en_US.UTF-8 LC_IDENTIFICATION=C
attached base packages:
[1] stats4
              parallel stats
                                  graphics grDevices utils
                                                                datasets
[8] methods
              base
other attached packages:
 [1] IMAS_1.8.0
                            IVAS_2.4.0
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[77] xfun_0.6
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