# Package 'RIPSeeker'

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Type Package

Title RIPSeeker: a statistical package for identifying

protein-associated transcripts from RIP-seq experiments

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Description Infer and discriminate RIP peaks from RIP-seq alignments using two-state HMM with negative binomial emission probability. While RIPSeeker is specifically tailored for RIP-seq data analysis, it also provides a suite of bioinformatics tools integrated within this self-contained software package comprehensively addressing issues ranging from post-alignments processing to visualization and annotation.
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# **Description**

RIPSeeker infers and discriminates RIP peaks from RIP-seq alignments using two-state HMM with negative binomial emission probability. While RIPSeeker is specifically tailored for RIP-seq data analysis, it also provides a suite of bioinformatics tools integrated within this self-contained software package comprehensively addressing issues ranging from post-alignments processing to visualization and annotation. In addition, a rule-based approach is provided as an additional function named rulebaseRIPSeek for user to obtain RPKM/FPKM (and fold-change) for the gene/transcripts expressions in RIP (and control) based on automatically retrieved online Ensembl annotation given single or paired-end alignments.

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#### **Details**

Package: RIPSeeker Type: Package Version: 1.4.0 Date: 2012-11-06 License: GPL-2

The front-end main function ripSeek suffices for most applications. The function takes as the only required argument the path to alignment files (BAM/BED/SAM) and outputs predicted RIP regions. Optionally, user may indicate via 'cNAME' which file(s) in the first file argument list is/are control to enable empirical false discover rate (eFDR) computation. If the arguments 'biomaRt\_dataset' and/or 'goAnno' are set, ripSeek will return the annotated RIP predictions and the enriched GO terms corresponding to the genomic context of the RIP predictions. User can also specify the thresholds for statistical significance scores via logOddCutoff, pvalCutoff, pvalAdjCutoff, eFDRCutoff.

#### Author(s)

Yue Li <yueli@cs.toronto.edu>

#### References

Li, Y., Zhao, D. Y., Greenblatt, J. F., & Zhang, Z. (2013). RIPSeeker: a statistical package for identifying protein-associated transcripts from RIP-seq experiments. Nucleic Acids Research. doi:10.1093/nar/gkt142

Zhao, J., Ohsumi, T. K., Kung, J. T., Ogawa, Y., Grau, D. J., Sarma, K., Song, J. J., et al. (2010). Genome-wide Identification of Polycomb-Associated RNAs by RIP-seq. Molecular Cell, 40(6), 939D953. doi:10.1016/j.molcel.2010.12.011

#### See Also

ripSeek,rulebaseRIPSeek

### **Examples**

library(RIPSeeker)
ls("package:RIPSeeker")

addDummyProb

Create a dummy GRanges object as a placeholder in case nbh\_em fails (Internal function)

# Description

This function is used to generate a place holder in cases the EM fails to converge on a chromosome due to too few number of reads mapped to that chromosome. This is an internal function not expected to be directly called by the user.

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#### Usage

```
addDummyProb(alignGR, K = 2, randomProb = FALSE, runViterbi = FALSE, ...)
```

#### **Arguments**

alignGR GRanges object derived from RIP-seq alignment inputs.

K Number of hidden states (Default: 2).

randomProb A binary value to indicate whether to use random probability as a place holder

to present posterior probabilities. If set FALSE, (by default), equal probability

is used for all states.

runViterbi A binary value to indicate whether to generate place holder for the Viterbi state

sequence (Default: FALSE).

... Additional arguments expected to contain the bin size used for computing the

bin counts in binCount function, and any other extra arguments are ignored.

### **Details**

A priviate function to fall back in case HMM fails to converge mostly due to too many zero counts in the input vector. When that occurs, a GRanges place holder object needs to be returned to keep consistent with the remaining GRanges for each chromosome. Thus, all information slot will be generated as place holder to properly create the GRangesList for the predictions on all chromosomes (each as an GRanges item in the list).

#### Value

GRanges A GRanges object containing the read count (in the defined bin size), alpha,

beta, TRANS dummy values for the HMM

# Author(s)

Yue Li

# See Also

 ${\tt mainSeekSingleChrom}$ 

```
# Retrieve system files
extdata.dir <- system.file("extdata", package="RIPSeeker")
bamFiles <- list.files(extdata.dir, ".bam$", recursive=TRUE, full.names=TRUE)
bamFiles <- grep("PRC2", bamFiles, value=TRUE)
alignGal <- getAlignGal(bamFiles[1], reverseComplement=TRUE, genomeBuild="mm9")
alignGR <- as(alignGal, "GRanges")
alignGRList <- GRangesList(as.list(split(alignGR, seqnames(alignGR))))
x <- addDummyProb(alignGRList$chrX, binSize=10000)</pre>
```

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addPseudoAlignment Add a psuedoalignment as a placeholder for the chromosome (Internal function)

# **Description**

Check whether chromosome has at least one alignment to prevent abnormal behaviour of the subsequent functions. In case no alignment is found on an entire chromosome, add a pseudo-alignment as a placeholder for that chromosome.

# Usage

```
addPseudoAlignment(alignGR)
```

# **Arguments**

alignGR

GRanges object containing the alignment information.

#### **Details**

In case no alignment is found on an entire chromosome, add an alignment with start 1 and end 20 as a placeholder for the chromosome. This step is necessary to maintian the chromosome information.

#### Value

alignGR

Original or augmented input GRanges object with pseudoreads, depending on whether there exists empty chromosome(s).

# Author(s)

Yue Li

# See Also

```
combineAlignGals,readGAlignments,readGAlignmentPairs,import
```

```
# Retrieve system files
extdata.dir <- system.file("extdata", package="RIPSeeker")
bamFiles <- list.files(extdata.dir, ".bam$", recursive=TRUE, full.names=TRUE)
bamFiles <- grep("PRC2", bamFiles, value=TRUE)
alignGal <- getAlignGal(bamFiles[1], reverseComplement=TRUE, genomeBuild="mm9")
alignGR <- as(alignGal, "GRanges")
alignGR</pre>
```

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```
x <- addPseudoAlignment(alignGR)
y</pre>
```

annotateRIP

Annotate RIP peaks with genomic information and perform GO enrichement

# Description

Given the genomic coordinates of each predicted RIP regions, query the Ensembl database whether each region is nearby or overlaps any known (noncoding) genes.

# Usage

```
annotateRIP(sigGRanges, biomaRt_dataset, featureType = "TSS",
goAnno, strandSpecific = FALSE, exportFormat = "txt",
hasGOdb = !missing(goAnno), goPval = 0.1, outDir, ...)
```

to specify the GO enrichment procedure.

# Arguments

sigGRanges	GRanges object indicating the chromosomal coordinates of each RIP peaks.						
biomaRt_dataset							
	Ensembl dataset available from biomaRt (See listDatasets). For instance, the human and mouse annotations are hsapiens_gene_ensembl and mmusculus_gene_ensembl, respectively.						
featureType	TSS, miRNA, Exon, 5'UTR, 3'UTR, transcript or Exon plus UTR defined in getAnnotation.						
goAnno	Optional argugment that specifies a GO dataset used for GO enrichement analysis performed by getEnrichedGO. For instance, the human and mouse GO datasets are org.Hs.eg.db and org.Mm.eg.db.						
strandSpecific	Indicate whether the annotations should be strand-specific (Default: FALSE)						
exportFormat	Format to export using exportGRanges (Default: "txt", i.e. tab-delim file).						
hasGOdb	A binary flag that indicates whether GO enrichement is performed in order to export the results. hasGOdb can be FALSE either because goAnno is not specify or because the GO database does not exist.						
goPval	P-value cutoff to determine the significance of enriched GO terms by getEnrichedGO.						
outDir	Output directory.						
	Extra arguments passed to useMart to specify the database and to passed getEnrichedG0						

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### **Details**

To access the up-to-date Ensembl database, RIPSeeker employs useMart and getAnnotation from biomaRt and ChIPpeakAnno Bioconductor packages to dynamically establish internet connection to the database and retrieve the up-to-date annotations. Then, annotatePeakInBatch from ChIPpeakAnno is used to efficiently annotate all of the predicted regions based on the Ensembl annotation. A predicted region may overlap multiple genes, all of which will be reported as separate records. Moreover, getEnrichedGO from ChIPpeakAnno is applied to the annotated predictions to discover enriched Gene Ontology (GO) terms involving the protein-associated transcriptome.

In order to use old annotation (e.g., mm9 v.s. mm10), user also needs to specify the host and biomart arguments accepted within useMart. To access to mouse annotation from Ensembl version 65, for instance, user needs to call annotateRIP(..., dataset="mmusculus\_gene\_ensembl", biomart="ENSEMBL\_MART\_ENSEMBL\_MART\_ENSEMBL\_Mart\_ensembl.org", ...), which will run useMart(dataset="mmusculus\_gene\_ensembl", biomart="ENSEMBL\_MART\_ENSEMBL", host="dec2011.archive.ensembl.org", ...) to get the mm9 annotation from Ensembl (v65).

### Value

sigGRangesAnnotated

sigGRanges augmented with genomic information including "ensembl\_gene\_id", "external\_gene\_id", and "description"

enrichedG0

Output from getEnrichedGO. All three main GO categories ("Biological Process", "Molecular Function", "Cellular Component") are combined together and returned. The argument is only returned when hasGOdb is TRUE.

If outDir is specified, then the above sigGRangesAnnotated is saved as RIPregions\_annotated.txt and RIPregions\_annotated.RData, and enrichedG0 as RIPregions\_enrichedG0.txt in the outDir directory.

# Author(s)

Yue Li

## References

Mapping identifiers for the integration of genomic datasets with the R/Bioconductor package biomaRt. Steffen Durinck, Paul T. Spellman, Ewan Birney and Wolfgang Huber, Nature Protocols 4, 1184-1191 (2009).

BioMart and Bioconductor: a powerful link between biological databases and microarray data analysis. Steffen Durinck, Yves Moreau, Arek Kasprzyk, Sean Davis, Bart De Moor, Alvis Brazma and Wolfgang Huber, Bioinformatics 21, 3439-3440 (2005).

Lihua Julie Zhu, Herve Pages, Claude Gazin, Nathan Lawson, Jianhong Ou, Simon Lin, David Lapointe and Michael Green (2012). ChIPpeakAnno: Batch annotation of the peaks identified from either ChIP-seq, ChIP-chip experiments or any experiments resulted in large number of chromosome ranges.. R package version 2.4.0.

### See Also

useMart,getAnnotation,getEnrichedGO

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```
if(interactive()) { # need internet connection
# Retrieve system files
extdata.dir <- system.file("extdata", package="RIPSeeker")</pre>
bamFiles <- list.files(extdata.dir, ".bam$", recursive=TRUE, full.names=TRUE)</pre>
bamFiles <- grep("PRC2", bamFiles, value=TRUE)</pre>
# Parameters setting
binSize <- NULL # automatically determine bin size</pre>
minBinSize <- 10000 # min bin size in automatic bin size selection
maxBinSize <- 12000 # max bin size in automatic bin size selection</pre>
multicore <- FALSE # use multicore</pre>
strandType <- "-" # set strand type to minus strand</pre>
biomart <- "ENSEMBL_MART_ENSEMBL" # use archive to get ensembl 65</pre>
dataset <- "mmusculus_gene_ensembl" # mouse dataset id name</pre>
host <- "dec2011.archive.ensembl.org" # use ensembl 65 for annotation
goAnno <- "org.Mm.eg.db"</pre>
mainSeekOutputRIP <- mainSeek(</pre>
   bamFiles=grep(pattern="SRR039214", bamFiles, value=TRUE, invert=TRUE),
binSize=binSize, minBinSize = minBinSize,
maxBinSize = maxBinSize, strandType=strandType,
reverseComplement=TRUE, genomeBuild="mm9",
uniqueHit = TRUE, assignMultihits = TRUE,
rerunWithDisambiguatedMultihits = TRUE,
multicore=multicore, silentMain=FALSE, verbose=TRUE)
# use defined binSize from RIP
RIPBinSize <- lapply(mainSeekOutputRIP$nbhGRList, function(x) median(width(x)))
mainSeekOutputCTL <- mainSeek(</pre>
   bamFiles=grep(pattern="SRR039214", bamFiles, value=TRUE, invert=FALSE),
\verb|binSize=RIPBinSize|, strandType=strandType|,
reverseComplement=TRUE, genomeBuild="mm9",
uniqueHit = TRUE, assignMultihits = TRUE,
rerunWithDisambiguatedMultihits = TRUE,
multicore=multicore, silentMain=FALSE, verbose=TRUE)
ripGR <- seekRIP(mainSeekOutputRIP$nbhGRList$chrX, mainSeekOutputCTL$nbhGRList)</pre>
############# Annotate peaks ###############
annotatedRIPGR <- annotateRIP(sigGRanges = ripGR,</pre>
biomaRt_dataset = dataset, goAnno = goAnno,
strandSpecific = !is.null(strandType),
host=host, biomart=biomart)
```

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```
head(annotatedRIPGR$sigGRangesAnnotated)
}
```

binCount

Count reads in nonoverlapping bins across a chromosome

# **Description**

Stratify chromosome into nonoverlapping bins of the same size and count the number of reads that fall within each bin.

# Usage

```
binCount(alignGR, binSize, returnBinCountOnly = FALSE)
```

# **Arguments**

alignGR GRanges object containing the alignments for a single chromosome.

binSize An integer for the bin size.

returnBinCountOnly

Binary indicator. If TRUE, only the integer read count is returned; if FALSE, GRanges of bins with value slot saved as the corresponding read counts is returned.

#### **Details**

The funciton is designed to operate to a single chromosome to facilitate parallel computing on multiple chromosomes independently. The function is used in evalBinSize to select the optimal bin size based on the read counts and in mainSeekSingleChrom to provide the read count as input for the HMM.

# Value

If returnBinCountOnly is TRUE, then the integer read count is returned; if returnBinCountOnly is FALSE, then the GRanges of bins with value slot saved for the corresponding read counts is returned.

# Author(s)

Yue Li

# References

P. Aboyoun, H. Pages and M. Lawrence. GenomicRanges: Representation and manipulation of genomic intervals. R package version 1.8.9.

# See Also

```
selectBinSize,evalBinSize
```

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#### **Examples**

```
# Retrieve system files
extdata.dir <- system.file("extdata", package="RIPSeeker")
bamFiles <- list.files(extdata.dir, ".bam$", recursive=TRUE, full.names=TRUE)
bamFiles <- grep("PRC2", bamFiles, value=TRUE)
alignGal <- getAlignGal(bamFiles[1], reverseComplement=TRUE, genomeBuild="mm9")
alignGR <- as(alignGal, "GRanges")
alignGRList <- GRangesList(as.list(split(alignGR, seqnames(alignGR))))
binSize <- 1000
binGR <- binCount(alignGRList$chrX, binSize)</pre>
```

combineAlignGals

Combine alignment files into a single GAlignments object

### **Description**

Import and process individual BAM/SAM/BED alignment files using getAlignGal and combine them into a single GAlignments.

# Usage

```
combineAlignGals(bamFiles, ...)
```

# **Arguments**

bamFiles A list of paths to the alignment files.
... Arguments passed to getAlignGal.

# Details

If there is only one BAM file, then simply return the output from getAlignGal; otherwise, all processed alignments are pooled to form a single GAlignments object.

# Value

combinedGal

GAlignments object containing the (combined) processed alignments with the values slot saved for the "uniqueHits" binary flag defined in getAlignGal and metadata saved as a list containing argument setting for reverseComplement, returnDuplicate, fl defined in getAlignGal

.

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### Note

User are recommanded to pool technical replicates but keep biological replicate separate for confirmation.

# Author(s)

Yue Li

#### See Also

```
getAlignGal,readGAlignments,readGAlignmentPairs,import
```

# **Examples**

combineRIP

Combined predictions from (presumably) biological replicates.

## **Description**

A simple helper function that combines multiple prediction lists from biological replicates into a single list.

# Usage

```
combineRIP(ripPath, pattern="gff3$",
combineOption="intersect",
pvalCutoff=1, pvalAdjCutoff=1, eFDRCutoff=1,
logOddCutoff=-Inf, maxgap=1e3, minIntersect, genomeBuild)
```

# **Arguments**

ripPath

Path to predictions list in a select format as indicated by the file extension.

pattern

Pattern for the names of the prediction files to combine. The file names are expected to have a common extension such as "bed", "gff3", "gtf", but this is not enforced. Default: "gff3\$" (i.e. the default output RIPregions.gff3 from ripSeek).

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combineOption Options on *how* to combine the peaks including:

"intersect": is selected (default), only peaks in each biological replicate list that overlap with or are adjacent within maxgap nucleotides to at least minIntersect other replicates will be kept. If minIntersect is unspecified, then only the peaks that consistently predicted in all replicates are kept.

"merge": All overlapping peaks from the replicates will be merged into one

peak.

"union": All overlapping peaks from the replicates will be merged into one

peak.

pvalCutoff Threshold for the p-value cutoff. Only peaks with p-value *less* than the logOddCutoff

will be reported. Default: 1 (i.e. no cutoff).

pvalAdjCutoff Threshold for the adjusted p-value cutoff. Only peaks with adjusted p-value less

than the logOddCutoff will be reported. Default: 1 (i.e. no cutoff).

eFDRCutoff Threshold for the empirical false discovery rate (eFDR). Only peaks with eFDR

less than the eFDRCutoff will be reported. Default: 1 (i.e. no cutoff).

logOddCutoff Threshold for the log odd ratio of posterior for the RIP over the background

states (See seekRIP). Only peaks with logOdd score greater than the logOddCutoff

will be reported. Default: -Inf (i.e. no cutoff).

maxgap Maximum gap allowed to determine two peaks agree with each other.

minIntersect Mininum number of replicates required to have peaks either intersect or are

adjacent to the peak in other replciate.

genomeBuild Genome build used to obtain the chromosome information from online UCSC

database to assign chromosome length to the GRanges object created as the com-

bined peak list.

# Value

gr GRanges object containing chromosome locations of the combined peaks.

### Note

Please run ripSeek first on all biological replicates and renamed each "RIPregions.gff3" output to correspond to different biological replicates and place all of the files into a single folder. The path of this folder can then be used as the input argument for ripPath.

#### Author(s)

Yue Li

# References

P. Aboyoun, H. Pages and M. Lawrence. GenomicRanges: Representation and manipulation of genomic intervals. R package version 1.8.9.

Michael Lawrence, Vince Carey and Robert Gentleman. rtracklayer: R interface to genome browsers and their annotation tracks. R package version 1.16.3.

# See Also

combineAlignGals,ripSeek,import,import,reduce,countOverlaps

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#### **Examples**

```
# Retrieve system files
ripPath <- system.file("extdata/RIPregions", package="RIPSeeker")
gr1 <- combineRIP(ripPath, combineOption="intersect", genomeBuild="mm9")
gr2 <- combineRIP(ripPath, combineOption="merge", genomeBuild="mm9")
gr3 <- combineRIP(ripPath, combineOption="union", genomeBuild="mm9")
length(gr1)
length(gr2)
length(gr3)</pre>
```

computeLogOdd

Compute the log odd ratio of RIP over background.

# Description

The RIPScore is computed as the log odd ratio of the posterior for the RIP state  $(z_i = 2)$  over the posterior for the background state  $(z_i = 1)$ 

# Usage

```
computeLogOdd(nbhGR)
```

# **Arguments**

nbhGR

GRanges of bins with the value slot saved for the posterior probabilities for the background and RIP state.

# **Details**

To assess the statistical significance of the RIP predictions, we assign each bin a RIPScore defined as the log odd ratio of the posterior for the RIP state ( $z_i=2$ ) over the posterior for the background state ( $z_i=1$ ). When control is available, the RIPScore is updated as the difference between the RIPScores evaluated separately for RIP and control libraries. The scoring system captures the model confidence for the RIP state of each bin in the RIP library penalized by the false confidence for the RIP state of the same bin in the control library. In addition, RIPScore obviates scaling of read counts. Since sequencing depth usually differs between RIP and control libraries, scaling is necessary if the statistical score were derived from the read count differences. On the other hand, simplistic linear scaling may distort the data.

# Value

A vector of log odd scores for each bin in nbhGR.

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# Author(s)

Yue Li

#### See Also

seekRIP,scoreMergedBins,logScoreWithoutControl,logScoreWithControl

# **Examples**

computeRPKM

Compute RPKM based on gene annotations

# Description

Given a list of single-end or paired-end read alignment files in BAM/SAM/BED format, compute the read counts and normalized read counts as expression of annotated transcript in the unit of "reads per kilobase of exon per million mapped reads" (RPKM).

# Usage

```
computeRPKM(bamFiles, RIPSeekerRead = TRUE, paired = FALSE,
countMode = "IntersectionNotEmpty", featureGRanges,
idType = "ensembl_transcript_id", featureType = "exon",
ignore.strand = FALSE, txDbName = "biomart",
moreGeneInfo = FALSE, saveData, justRPKM = TRUE, ...)
```

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#### **Arguments**

bamFiles A list of one or more BAM/SAM/BED alignment files.

RIPSeekerRead Binary flag. If TRUE, then import and process the alignment files using the

built-in function combineAlignGals from RIPSeeker package; if FALSE, then import the files by directly calling the required functions. The flag makes using

the function outside of RIPSeeker package become possible.

paired Binary to indicate whether the alignments files are paired-end. The alignments

file must be either paired-end or single-end but not both.

countMode An argument used to set the mode argument in the underlying function summarizeOverlaps

employed to compute the read counts for each feature. The possible mode includes "Union", "IntersectionStrict", and "IntersectionNotEmpty". All three modes avoid double counting the reads by either discarding reads that completely fall into multiple features or counting the read only once for the feature that uniquely and completely includes it. Please refer to summarizeOverlaps

for details.

featureGRanges GRanges of features as an optional argument for function to compute RPKM/FPKM

just for those features without retrieving online annotations.

idType A character string that specifies the type of the annotations, which can "en-

sembl\_transcript\_id", "ensembl\_gene\_id", "ucsc", etc. Refer to listFilters

for more information.

featureType Features that will be groupped by genes/transcripts in a GRangesList. The avail-

able options are "exon" (Default), "intron", "fiveUTR", "threeUTR", and "CDS"

corresponding to the functions exonsBy, cdsBy, intronsByTranscript, fiveUTRsByTranscript,

threeUTRsByTranscript, and cdsBy, respectively.

ignore.strand Whether to ignore strand when counting the reads (Default: FALSE).

txDbName Name of the transcript database to use to retreive the annotation. The avail-

able options are "biomart" (Default) or "UCSC" corresponding to the functions

makeTxDbFromBiomart and makeTxDbFromUCSC, respectively.

moreGeneInfo Binary indicator to indicate whether to download more information for each

genes/transcripts rather than having only the gene/transcript IDs (Default: FALSE).

saveData Path of output file.

justRPKM Binary for whether to return only the RangedSummarizedExperiment.

... Extra arguments passed to functions makeTxDbFromBiomart, makeTxDbFromUCSC,

useMart, combineAlignGals.

# **Details**

The function is a wrapper function making use of several external functions from several well maintained and freely available Bioconductor packages including GenomicFeatures, GenomicRanges, biomaRt and Rsamtools packages. The paired-end alignments are converted into single-end using function galp2gal and then subject to read count computation by summarizeOverlaps, which does not yet directly support paired-end alignments.

# Value

rpkmSEobject A RangedSummarizedExperiment object with assays slot saved for counts,

 $\begin{tabular}{ll} rowRanges holds the features, metadata for RPKM/FPKM (normalized) gene \\ . \end{tabular}$ 

expression.

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rpkmDF Data frame with or without the detailed gene information columns depending

on whether moreGeneInfo is TRUE or FALSE. rpkmDF is only returned within in a list when justRPKM is FALSE.

 $\label{thm:compute} \textbf{FeatureSRanges} \quad \textbf{The features in GRanges object that are used to compute the gene expression.}$ 

featureGRanges is only returned within in a list when justRPKM is FALSE.

#### Note

Also works for RNA-seq alignments.

#### Author(s)

Yue Li

#### References

M. Carlson, H. Pages, P. Aboyoun, S. Falcon, M. Morgan, D. Sarkar and M. Lawrence. GenomicFeatures: Tools for making and manipulating transcript centric annotations. R package version 1.8.2.

P. Aboyoun, H. Pages and M. Lawrence (). GenomicRanges: Representation and manipulation of genomic intervals. R package version 1.8.9.

Mapping identifiers for the integration of genomic datasets with the R/Bioconductor package biomaRt. Steffen Durinck, Paul T. Spellman, Ewan Birney and Wolfgang Huber, Nature Protocols 4, 1184-1191 (2009).

BioMart and Bioconductor: a powerful link between biological databases and microarray data analysis. Steffen Durinck, Yves Moreau, Arek Kasprzyk, Sean Davis, Bart De Moor, Alvis Brazma and Wolfgang Huber, Bioinformatics 21, 3439-3440 (2005).

Martin Morgan and Herv\'e Pag\'es (). Rsamtools: Binary alignment (BAM), variant call (BCF), or tabix file import. R package version 1.8.5. http://bioconductor.org/packages/release/bioc/html/Rsamtools.html

# See Also

 $\verb|makeTxDbFromBiomart,makeTxDbFromUCSC,useMart,exonsBy,cdsBy,intronsByTranscript,fiveUTRsBy$ 

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```
dataset=dataset, moreGeneInfo=TRUE, justRPKM=FALSE,
idType="ensembl_transcript_id", txDbName=txDbName,
biomart=biomart, host=host, by="tx")
}
```

disambiguateMultihits Assign each multihit to a unique region based on the posterior for the read-enriched hidden state

#### **Description**

Among multiple alignments of the same read (i.e. multihit), select the alignment corresponding to the bin with the maximum posterior for the enriched state.

# Usage

```
disambiguateMultihits(alignGal, nbhGRList, postprobCutoff = 0)
```

# **Arguments**

alignGal GAlignments object with an additional column in the values slot that indicates

whether the read corresponding to the current alignment is a unique hit (i.e., read mapped uniquely to a single loci) or multihit (i.e., read mapped to multiple

loci).

nbhGRList GRangesList each item containing the HMM training results on a single chromo-

some. Importantly, the posterior probabilities for the background and enriched states need to be present the metadata slot and used to disambiguate multihits,

 $which is done \ by \ {\tt mainSeekSingleChrom}.$ 

postprobCutoff Posterior cutoff for returning only the reads with maximum posterior that is

greater than the threshold (Default: 0; i.e., no cutoff).

### **Details**

Each multihit (i.e., read aligned to multiple loci) flagged in the <code>getAlignGal</code> function are assigned to a unique locus corresponding to the  $j^th$  bin with the highest posterior or responsibility from the RIP state. Intuitively, the RIP state corresponds to the read-enriched loci. Disambiguating multihits in this way will potentially improve the power of detecting more RIP regions but may also introduce certain bias towards the idea of "rich gets richer". After this step, RIPSeeker will rerun the functions from <code>selectBinSize</code> to nbh to improve the HMM model estimation with augmented read count data. Optionally, user can choose not to reiterate the training process to go straight to the next step to detect RIP regions (See <code>seekRIP</code>).

# Value

GAlignments with each read mapped uniquely to a single locus.

## Author(s)

Yue Li

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#### See Also

```
getAlignGal,ripSeek,mainSeek,mainSeekSingleChrom
```

### **Examples**

```
# Retrieve system files
extdata.dir <- system.file("extdata", package="RIPSeeker")</pre>
bamFiles <- list.files(extdata.dir, ".bam$", recursive=TRUE, full.names=TRUE)</pre>
bamFiles <- grep("PRC2", bamFiles, value=TRUE)</pre>
# Parameters setting
binSize <- 1e5  # use a large fixed bin size for demo only
minBinSize <- NULL # turn off min bin size in automatic bin size selection
maxBinSize <- NULL # turn off max bin size in automatic bin size selection
multicore <- FALSE # use multicore</pre>
strandType <- "-" # set strand type to minus strand
# Retrieve system files
extdata.dir <- system.file("extdata", package="RIPSeeker")</pre>
bamFiles <- list.files(extdata.dir, ".bam$", recursive=TRUE, full.names=TRUE)</pre>
bamFiles <- grep("PRC2", bamFiles, value=TRUE)</pre>
alignGal <- combineAlignGals(bamFiles=grep(pattern="SRR039214",</pre>
           bamFiles, value=TRUE, invert=TRUE), reverseComplement=TRUE, genomeBuild="mm9")
alignGR <- as(alignGal, "GRanges")</pre>
alignGR <- addPseudoAlignment(alignGR)</pre>
alignGRList <- GRangesList(as.list(split(alignGR, seqnames(alignGR))))</pre>
########### run mainSeekSingleChrom function for HMM inference on a single chromosome ##############
nbhGRList <- lapply(alignGRList, mainSeekSingleChrom, K = 2, binSize=binSize,</pre>
minBinSize = minBinSize, maxBinSize = maxBinSize, runViterbi=FALSE)
nbhGRList <- GRangesList(nbhGRList)</pre>
alignGalFiltered <- disambiguateMultihits(alignGal, nbhGRList)</pre>
```

 ${\it empiricalFDR}$ 

Compute empirical false discovery rate

# Description

At a p-value, find the number of regions in RIP library (denoted as "trueCount") and the number of regions in control library (denoted as "falseCount"). The empirical false discovery rate (eFDR) is estimated as the ratio of the falseCount over the trueCount.

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# Usage

```
empiricalFDR(pval, pvalRIP, pvalCTL)
```

#### **Arguments**

pval A scalar p-value.

pvalRIP A column vector of p-values for the peaks identifed from RIP v.s. control com-

parison.

pvalCTL A column vector of p-values for the peaks identified from control v.s. RIP com-

parison.

#### **Details**

Only when the control is available, is an empirical false discovery rate (eFDR) estimated based on the idea of "sample swap" inspired by MACS (a ChIP-seq algorithm from Zhange *el al.* (2008). At each p-value, RIPSeeker finds the number of significant RIP-regions over control (CTL) based on pvalRIP and the number of significant control regions over RIP based on pvalCTL. The eFDR is defined as the ratio of the number of "RIP" (false positive) regions identified from CTL-RIP comparison over the number of RIP regions from the RIP-CTL comparison. The maximum value for eFDR is 1 and minimum value for eFDR is  $\max(p-value, 0)$ . The former takes care of the case where the numerator is bigger than the denominator, and the latter for zero numerator.

#### Value

A scalar probabibility value that represents the eFDR.

# Note

This is an internal function used in seekRIP.

# Author(s)

Yue Li

### References

Yong Zhang, Tao Liu, Clifford A Meyer, J\'er\\^ome Eeckhoute, David S Johnson, Bradley E Bernstein, Chad Nusbaum, Richard M Myers, Myles Brown, Wei Li, and X Shirley Liu. Model-based analysis of ChIP-Seq (MACS). Genome Biology, 9(9):R137, 2008.

#### See Also

logScoreWithControl, seekRIP, computeLogOdd, scoreMergedBins

```
pvalRIP <- runif(100)
pvalCTL <- runif(100)
eFDR <- empiricalFDR(pvalRIP[1], pvalRIP, pvalCTL)
pvalRIP[1]</pre>
```

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```
eFDR
# more significant pval
pvalRIP[1] <- 1e-4
eFDR <- empiricalFDR(pvalRIP[1], pvalRIP, pvalCTL)
pvalRIP[1]
eFDR</pre>
```

evalBinSize

Evaluate bin size using Shimazaki cost function

# **Description**

Given a bin size and a GRanges alignment object, the function computes the bin count and returns the cost of the bin size based on Shimazaki cost function.

# Usage

```
evalBinSize(binSize, alignGR)
```

# **Arguments**

binSize An integer that indicates the bin size applied to the binning of the chromosome.

alignGR GRanges object of alignments to a single chromosome.

# **Details**

The function implements the algorithm developed by Shimazaki and Shinomoto (2007), which is based on the goodness of the fit of the time histogram to estimate the rate of neural response of an animal to certain stimuli in a spike-in experiment. The algorithm involves four simple steps:

- 1. Divide chromosome sequence into N bins of width b.
- 2. Count number of read counts  $x_i$  that enter the i'th bin.
- 3. Compute:  $\bar{x} = \frac{1}{N} \sum_{i=1}^{N} x_i$  and  $v = \frac{1}{N} \sum_{i=1}^{N} (x_i \bar{x})^2$ .
- 4. Compute:  $C(b) = \frac{2\bar{x}-v}{b^2}$

# Value

cost

A scalar value for the cost of the bin size.

### Author(s)

Yue Li

# References

Hideaki Shimazaki and Shigeru Shinomoto. A method for selecting the bin size of a time histogram. Neural computation, 19(6):1503-1527, June 2007.

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#### See Also

```
selectBinSize,binCount
```

### **Examples**

```
# Retrieve system files
extdata.dir <- system.file("extdata", package="RIPSeeker")
bamFiles <- list.files(extdata.dir, ".bam$", recursive=TRUE, full.names=TRUE)
bamFiles <- grep("PRC2", bamFiles, value=TRUE)
alignGal <- getAlignGal(bamFiles[1], reverseComplement=TRUE, genomeBuild="mm9")
alignGR <- as(alignGal, "GRanges")
alignGRList <- GRangesList(as.list(split(alignGR, seqnames(alignGR))))
binSize <- 1000
costs <- evalBinSize(binSize, alignGRList$chrX)</pre>
```

exportGRanges

Export GRanges object in a specified format

# **Description**

A wrapper function of export with additional support for exporting tab-delimted format with no re-arrangement of the original GRanges output.

# Usage

```
exportGRanges(gRanges, outfile, exportFormat)
```

# **Arguments**

gRanges GRanges object to export.
outfile File path for output.

exportFormat Desirable format including "txt" and other formats specified in export.

### Value

Output the text to the file stream defined in outfile.

# Note

The function is used in ripSeek to export desired format and can be used as general purpose function.

## Author(s)

Yue Li

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#### References

Michael Lawrence, Vince Carey and Robert Gentleman. rtracklayer: R interface to genome browsers and their annotation tracks. R package version 1.16.3.

#### See Also

```
export
```

# **Examples**

galp2gal

Convert GAlignmentPairs to GAlignments

# **Description**

Convert GAlignmentPairs to GAlignments using CIGAR to mark flanked portion of the pairs as 'N'.

# Usage

```
galp2gal(galp)
```

# **Arguments**

galp

#### **Details**

Each proper read pairs is combined into a single alignment record making use of the CIGAR flag 'N' to indicate the number of bases between the mate pairs (i.e., the difference between the start of the right mate pair and the end of the left mate pair). In other words, the paired-end alignments are treated as gapped alignments of long fragments. The function is used within getAlignGal but can be used as a stand-alone function as well.

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### Value

gal

GAlignments object containing for each paired alignments a single alignment record.

### Author(s)

Yue Li

#### References

P. Aboyoun, H. Pages and M. Lawrence. GenomicRanges: Representation and manipulation of genomic intervals. R package version 1.8.9.

#### See Also

```
\verb|getAlignGal, combineAlignGals, readGAlignments, readGAlignmentPairs, import | \\
```

### **Examples**

```
library(Rsamtools)
extdata.dir <- system.file("extdata", package="RIPSeeker")
ex1_file <- list.files(extdata.dir, "ex1.bam", recursive=TRUE, full.names=TRUE)
galp <- readGAlignmentPairs(ex1_file, use.names=TRUE)
galp
gal <- galp2gal(galp)
gal</pre>
```

getAlignGal

Import and processs in BAM/SAM/BED format

# **Description**

Import and process single-end or paired-end alignments in a BAM/SAM/BED file to retain valid alignments defined by the arguments below. Multihits (same read mapped to multiple loci) are flagged for the subsequent disambiguation with function disambiguateMultihits). The final output is a GAlignments object.

# Usage

```
getAlignGal(alignFilePath, format, genomeBuild,
deleteGeneratedBAM = FALSE, reverseComplement = FALSE,
returnDuplicate = FALSE, flagMultiHits = TRUE,
returnOnlyUniqueHits = FALSE, paired = FALSE, ...)
```

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### **Arguments**

alignFilePath Path to the alignment file.

The alignment format can be determined automatically from the file extension

or specified by the user. The supported formats are BAM, SAM, and BED.

Genome build used to obtain the chromosome information from online UCSC database in order to construct GAlignments object. Since the BAM/SAM header provides the chromosome information, the argument needs to be set only in the absence of the header information for some BAM/SAM files or when BED file is used. Examples for the common genomeBuild are "mm9" for mouse or "hg19" for human reference genomes. Note that an appropriate genome build that has been used in the alignment is important for desirable outcome. For instance, user

genome build.

deleteGeneratedBAM

Binary indicator to indicate whether the converted BAM from the original SAM input file needs to be deleted from the local disk (Default: FALSE).

should use "mm10" if the alignments are based on "mm10" rather than "mm9"

reverseComplement

Binary indicator to indicate whether the reads were sequenced from the opposite strand of the original RNA molecule. reverseComplement only applies to strand-specific sequencing in which case only the strand generated during *second strand synthesis* is sequenced. Thus, if reverseComplement=TRUE, the strand signs of the alignments are switched (i.e. + to -, - to +, and \* unchanged); otherwise (reverseComplement=FALSE) retian the original the strand signs.

returnDuplicate

Indicator (TRUE, FALSE, NA) to instruct whether the duplicate alignmets need to be returned (Default: FALSE). Duplicate reads are a set of reads that align to exactly the same genomic coordinate. Because transcripts are usually hundreds or thousands of base pairs long and thus much longer than the read (25-100 nt), the chance that the same 25-100 nt portion of the transcript being sequenced multiple times is very small and may very likely be due to PCR artifact. This argument is acutally passed to 'isDuplicate' in scanBamFlag.

flagMultiHits

Binary indicator for whether to add additional binary column named "unique-Hits" to indicate whether the corresponding aligned reads are unique hit (unique-Hits==TRUE) or multihit (unique-Hits==FALSE). Multihits represent multiple alignments of the same read due to gene duplications or repetitive elements of the genome. The multhits typically constitute a substantial proportion of the total mapped reads. Rather than being removed, these multihits are flagged (flagMultiHits=TRUE by default) and in the later step assigned to a unique region by (disambiguateMultihits).

returnOnlyUniqueHits

Binary indicator to return only the unique hits and discard all of the multihits (Default: FALSE).

paired

Binary indicator to indicate whether the alignments are paired-end (Default: FALSE). For paired-end alignments, properly paired reads are combined into a single alignment record making use of the CIGAR flag 'N' to indicate the number of bases between the mate pairs (i.e., the length of the insert fragment). In other words, the paired-end alignments are treated as gapped alignments of long fragments (See galp2gal).

Extra arguments are ignored.

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#### **Details**

The BAM file is imported using readGAlignments for single-end or readGAlignmentPairs for paired-end alignments. The SAM file is converted to BAM first and then imported as above. The BED file is first imported by import as GRanges object and subsequently converted to GAlignments via the constructor function GAlignments.

# Value

alignGal

GAlignments object containing the processed alignments with the values slot saved for the "uniqueHits" binary flag (See flagMultiHits above) and metadata saved as a list containing argument setting for reverseComplement, returnDuplicate, flagMultiH

#### Author(s)

Yue Li

### References

P. Aboyoun, H. Pages and M. Lawrence. GenomicRanges: Representation and manipulation of genomic intervals. R package version 1.8.9.

Michael Lawrence, Vince Carey and Robert Gentleman. rtracklayer: R interface to genome browsers and their annotation tracks. R package version 1.16.3.

#### See Also

combineAlignGals,readGAlignments,readGAlignmentPairs,import

# **Examples**

```
# Retrieve system files
extdata.dir <- system.file("extdata", package="RIPSeeker")
bamFiles <- list.files(extdata.dir, ".bam$", recursive=TRUE, full.names=TRUE)
bamFiles <- grep("PRC2", bamFiles, value=TRUE)
alignGal <- getAlignGal(bamFiles[1], reverseComplement=TRUE, genomeBuild="mm9")</pre>
```

 ${\tt logScoreWithControl}$ 

Compute RIPScore based on RIP and control posteriors and test for significance

# **Description**

Compute the RIPScore using both RIP and control posteriors for each bins, merge and summarize the scores for the merged bins, and finally compute the p-value and adjusted p-value for the summary RIPScore.

## Usage

```
logScoreWithControl(nbhGRRIP, nbhGRCTL, padjMethod = "BH", getControlStats = TRUE)
```

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#### **Arguments**

nbhGRRIP GRanges object for the RIP library created from mainSeek containing the pos-

terior probabilities of the hidden states for each observed read count.

nbhGRCTL An optional arugment as a GRanges object for the control library created from

mainSeek containing the posterior probabilities of the hidden states for each

observed read count.

padjMethod Method used to adjust multiple testing performed in p. adjust (Default: "BH").

getControlStats

Binary indicator to whether return statistics including computed for the control library alone. If TRUE, then all control specific score columns will be reported

with a prefix "CTL".

#### Details

The RIPScore is computed in computeLogOdd as the log odd ratio of the posterior for the RIP state  $(z_i=2)$  over the posterior for the background state  $(z_i=1)$  in RIP library subtracted by the log odd ratio computed from the control library. The adjacent bins with hidden states predicted by  $nbh\_vit$  as the enriched state (corresponding to the NB with larger mean) are merged. The RIPScores are averaged over the merged bins. To assess the statistical significance of the RIPScore for each region, we assume that the RIPScore follows a *Gaussian* (Normal) distribution with mean and standard deviation estimated using the RIPScores over all of the bins. The rationale is based on the assumption that most of the RIPScores correspond to the background state and together contribute to a stable estimate of the test statistics (TS) and p-value computed using the R built-in function pnorm. The p-value is adjusted by p.adjust with BH method by default. The same procedure is applied optionally to the control library.

### Value

GRanges of merged bins with values slot saved for RIPScore (lodOdd), p-value (pval), adjusted p-value (pvalAdj) for RIP and optionally for control.

#### Note

Internal function used by seekRIP.

#### Author(s)

Yue Li

### See Also

logScoreWithoutControl,seekRIP,computeLogOdd,scoreMergedBins

```
if(interactive()) { # check the example in seekRIP
# Retrieve system files
extdata.dir <- system.file("extdata", package="RIPSeeker")
bamFiles <- list.files(extdata.dir, ".bam$", recursive=TRUE, full.names=TRUE)
bamFiles <- grep("PRC2", bamFiles, value=TRUE)</pre>
```

```
# Parameters setting
binSize <- 1e5 # use a large fixed bin size for demo only
multicore <- FALSE # use multicore</pre>
strandType <- "-" # set strand type to minus strand
mainSeekOutputRIP <-</pre>
   mainSeek(bamFiles=grep(pattern="SRR039214",
   bamFiles, value=TRUE, invert=TRUE),
binSize=binSize, strandType=strandType,
reverseComplement=TRUE, genomeBuild="mm9",
uniqueHit = TRUE, assignMultihits = TRUE,
rerunWithDisambiguatedMultihits = FALSE,
multicore=multicore, silentMain=FALSE, verbose=TRUE)
mainSeekOutputCTL <- mainSeek(bamFiles=grep(pattern="SRR039214",</pre>
   bamFiles, value=TRUE, invert=FALSE),
binSize=binSize, strandType=strandType,
reverseComplement=TRUE, genomeBuild="mm9",
uniqueHit = TRUE, assignMultihits = TRUE,
rerunWithDisambiguatedMultihits = FALSE,
multicore=multicore, silentMain=FALSE, verbose=TRUE)
rip GR. wicontrol <- log Score With Control (main Seek Output RIP \$nbh GRList \$chr X), \\ main Seek Output CTL \$nbh GRList \$chr X)
ripGR.wicontrol
```

logScoreWithoutControl

Compute RIPScore based on RIP posteriors alone and test for significance

### **Description**

Compute the RIPScore using only the RIP (typically when control is unavailable) posteriors for each bins, merge and summarize the scores for the merged bins, and finally compute the p-value and adjusted p-value for the summary RIPScore.

# Usage

```
logScoreWithoutControl(nbhGRRIP, padjMethod = "BH")
```

# **Arguments**

nbhGRRIP	GRanges object for the RIP library created from mainSeek containing the pos-
	teriors probabilities of the hidden states for each observed read count.
padiMethod	Method used to adjust multiple testing performed in p. adjust (Default: "BH").

#### **Details**

The RIPScore is computed in computel ogOdd as the log odd ratio of the posterior for the RIP state  $(z_i=2)$  over the posterior for the background state  $(z_i=1)$  in RIP library alone (typically when control is unavailable). The adjacent bins with hidden states predicted by  $nbh\_vit$  as the enriched state (corresponding to the NB with larger mean) are merged. The RIPScores are averaged over the merged bins. To assess the statistical significance of the RIPScore for each region, we assume that the RIPScore follows a Gaussian (Normal) distribution with mean and standard deviation estimated using the RIPScores over all of the bins. The rationale is based on the assumption that most of the RIPScores correspond to the background state and together contribute to a stable estimate of the test statistics (TS) and p-value computed using the R built-in function pnorm. The p-value is adjusted by p. adjust with BH method by default.

#### Value

GRanges of merged bins with values slot saved for RIPScore (lodOdd), p-value (pval), adjusted p-value (pvalAdj) for RIP

#### Note

Internal function used by seekRIP.

#### Author(s)

Yue Li

# See Also

logScoreWithControl,seekRIP,computeLogOdd,scoreMergedBins

# **Examples**

```
if(interactive()) { # check the example in seekRIP
# Retrieve system files
extdata.dir <- system.file("extdata", package="RIPSeeker")</pre>
bamFiles <- list.files(extdata.dir, ".bam$", recursive=TRUE, full.names=TRUE)</pre>
bamFiles <- grep("PRC2", bamFiles, value=TRUE)</pre>
# Parameters setting
binSize <- 1e5 # use a large fixed bin size for demo only
multicore <- FALSE # use multicore
strandType <- "-" # set strand type to minus strand
############ run main function for HMM inference on all chromosomes #################
mainSeekOutputRIP <- mainSeek(bamFiles=grep(pattern="SRR039214",</pre>
    bamFiles, value=TRUE, invert=TRUE),
binSize=binSize, strandType=strandType,
reverseComplement=TRUE, genomeBuild="mm9",
uniqueHit = TRUE, assignMultihits = TRUE,
rerunWithDisambiguatedMultihits = FALSE,
multicore=multicore, silentMain=FALSE, verbose=TRUE)
```

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```
ripGR.wocontrol <- logScoreWithoutControl(mainSeekOutputRIP$nbhGRList$chrX)
}</pre>
```

mainSeek

Train HMM paramters on each chromosome independently from the alignments.

### **Description**

A back-end function used by the front-end function ripSeek to train HMM paramters on all of the chromosomes indepdently. This function in turn calls another function mainSeekSingleChrom to compute HMM paramters on each chromosome separately or in parallel (if multicore is TRUE).

# Usage

```
mainSeek(bamFiles, reverseComplement = FALSE,
genomeBuild = "mm9", uniqueHit = TRUE,
assignMultihits = TRUE, strandType = NULL,
paired=FALSE, rerunWithDisambiguatedMultihits = TRUE,
silentMain = FALSE, multicore = TRUE,
returnAllResults = TRUE, ...)
```

### **Arguments**

bamFiles A list of paths to individual BAM files. BED and SAM files are also accepted. reverseComplement

Whether the reads came from the original or the opposite strand of the RNA being sequenced. If former, then reverseComplement should be FALSE; otherwise TRUE, in which case the strand signs will be switched from + to -, - to +, and \* is unchanged.

genomeBuild

When the input alignment format is BED, genomeBuild is only required in getAlignGal to determine the chromosome lengths for the GAlignments obejct using function SeqinfoForUCSCGenome. BAM and SAM header have chromosome information, and thus genomeBuild is not needed.

uniqueHit

Binary indicator. If uniqueHit=TRUE, only reads mapped to single unique loci are used to train the HMM. Otherwise, all of the reads including multihits will be used for the HMM. A multihit is a read mapped to more than one loci. The flags for uniqueHits and multihits are the metadata values of GAlignments object constructed in getAlignGal.

assignMultihits

Binary indicator used by ripSeek to tell the function whether disambiguate multihits by assigning them to unique loci with the maximum posterior probability obtained from running HMM (See nbh\_em)

strandType

A character variable indicate which strand the RIPSeeker needs to operate on. The options are NULL, '+', '-', '\*'. If NULL or '\*', then all of the reads will be used (preferable for non-strand specific sequencing). If '+' or '-', only reads from '+' or '-' strand will be used, respectively. Note that the sign is assumed to be THE SAME AS the strand sign of the processed alignment object and will be the opposite sign if reverseComplement is TRUE (See reverseComplement above).

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paired

Binary to indicate whether the library is paired-end (TRUE) or single-end (FALSE by default) (see getAlignGal).

rerunWithDisambiguatedMultihits

After multihits have been asigned to unique loci, rerunWithDisambiguatedMultihits (Default: TRUE) indicates whether to re-run the HMM on the augmented read alignment data. If FALSE, the HMM step will not be re-run, and the workflow will proceed to RIP detection (See seekRIP) using the nondisambiguated alignments, which can either be the alignments containing only the uniqueHits (if uniqueHit=TRUE) or the alignments containing both the uniqueHits and multi-Hits (if uniqueHit=FALSE).

silentMain

Binary indicator to indicate whether to disable the verbose from the mainSeekSingleChrom function. If FALSE (by default), the EM training process will be output to the console for user to keep track of the training progress.

multicore

Binary indicator to indicate whether to use mclapplyfunction to compute HMM on chromosomes in parallel. The multicore function will speed up the computation by a factor proportional to the total number of CPU cores on the machine but may impose larger memory overhead than the singe-threading approach.

returnAllResults

Binary indicator to indicate whether to return all (HMM trained parameters, original, and disambiguated GAlignments) or just the HMM results.

. Arguments passed to mainSeekSingleChrom.

### Value

A list containing:

nbhGRList GRangesList each item containing the HMM training results on a single chromo-

some

alignGal Original alignment data in GAlignments object

alignGalFiltered

Disambiguated alignmnet data with multihits assigned to unique loci.

# Author(s)

Yue Li

#### See Also

```
ripSeek, mainSeekSingleChrom, mclapply
```

```
# Retrieve system files
extdata.dir <- system.file("extdata", package="RIPSeeker")
bamFiles <- list.files(extdata.dir, ".bam$", recursive=TRUE, full.names=TRUE)
bamFiles <- grep("PRC2", bamFiles, value=TRUE)

# Parameters setting
binSize <- 1e5  # use a large fixed bin size for demo only
minBinSize <- NULL # min bin size in automatic bin size selection
maxBinSize <- NULL # max bin size in automatic bin size selection</pre>
```

mainSeekSingleChrom

Automatic bin size selection, bin count, and HMM parameters optimization on read count vector from a single chromosome (Internal function)

# **Description**

This an internal function used by mainSeek to accomplish three major tasks *on a single chromo-some*: automatically select bin size, compute read counts within the bins, and obtain optimal HMM paramters.

### Usage

```
mainSeekSingleChrom(alignGR, K = 2, binSize = NULL, minReadCount = 10,
backupNumBins = 10, minBinSize = 200, maxBinSize = 1200,
increment = 5, pathToSavePlotsOfBinSizesVersusCosts,
verbose = TRUE, allowSecondAttempt = TRUE, ...)
```

# **Arguments**

8	
alignGR	GRanges containing the alignments on a single chromosome.
K	Number of hidden states (Default: 2). By default, state 1 specifies the background and state 2 the RIP regions. The two states are recognized by the means for the two distributions (See nbh_em).
binSize	Size to use for binning the read counts across each chromosome. If NULL, optimal bin size within a range (default: minBinSize=200, maxBinSize=1200) will be automatically selected (See selectBinSize).
minReadCount	Minimum aligned read counts needed for HMM to converge (Default: 10). Note that HMM may not converge some times when majority of the read counts are zero even if some read count > 10. When that happens, a back-up function addDummyProb comes in to create a placeholder for the corresponding chromosome in GRangeList to maintain the data structure to preserve all information (successfully) obtained from other chromosomes.
backupNumBins	If read count is less than minReadCount, then use backupNumBins (Default: 10)

to bin the chromosome.

minBinSize Minimum bin size to start with the bin selection (See selectBinSize). De-

fault to 200, common minimum band size selected in RIP or RNA-seq library

construction.

maxBinSize Maximum bin size to stop with the bin selection (See selectBinSize). Default:

1200.

increment Step-wise increment in bin size selection (See selectBinSize). Default: 5.

pathToSavePlotsOfBinSizesVersusCosts

Directory used to save the diagnostic plots for bin size selection.

verbose Binary indicator for disable (FALSE) or enable (TRUE) HMM training message

from function nbh to output to the console.

allowSecondAttempt

In case HMM fails to converge due to malformed paramters in EM iteraction, reiterating the HMM process each time with a different suboptimal bin size in attempt to succeed in some trial. If all yeild nothing, fall back up to addDummyProb

to return the place holder for the chromosome.

... Argumnets passed to nbh.

### Value

nbhGR GRanges object containing the optimized HMM parameters (and the Viterbi

hidden state sequence) accompanied with the read count vector following the

(automatic) binning scheme.

#### Note

Unless a highly customized workflow is needed, ripSeek is the high-level front-end main function that should be used in most cases.

# Author(s)

Yue Li

# See Also

```
ripSeek,mainSeek,nbh_em
```

```
# Retrieve system files
extdata.dir <- system.file("extdata", package="RIPSeeker")

bamFiles <- list.files(extdata.dir, ".bam$", recursive=TRUE, full.names=TRUE)

bamFiles <- grep("PRC2", bamFiles, value=TRUE)

# Parameters setting
binSize <- 1e5  # use a large fixed bin size for demo only
minBinSize <- NULL # min bin size in automatic bin size selection
maxBinSize <- NULL # max bin size in automatic bin size selection
multicore <- FALSE # use multicore
strandType <- "-" # set strand type to minus strand

# Retrieve system files</pre>
```

nbh 33

```
extdata.dir <- system.file("extdata", package="RIPSeeker")
bamFiles <- list.files(extdata.dir, ".bam$", recursive=TRUE, full.names=TRUE)
bamFiles <- grep("PRC2", bamFiles, value=TRUE)
alignGal <- getAlignGal(bamFiles[1], reverseComplement=TRUE, genomeBuild="mm9")
alignGR <- as(alignGal, "GRanges")
alignGRList <- GRangesList(as.list(split(alignGR, seqnames(alignGR))))
################### run main function for HMM inference on a single chromosome ###############
nbhGR <- mainSeekSingleChrom(alignGR=alignGRList$chrX, K = 2, binSize=binSize,
minBinSize = minBinSize, maxBinSize = maxBinSize)</pre>
```

nbh

Generic function of negative binomial HMM

# **Description**

Generic function for nbh. GRanges and nbh. integer

# Usage

```
nbh(x, ...)
```

# **Arguments**

x Object of class Integer or GRanges.

... Extra arguments passed to either nbh. GRanges or nbh. integer.

# Author(s)

Yue Li

#### References

Rabiner, L. R. (1989). A tutorial on hidden Markov models and selected applications in speech recognition (Vol. 77, pp. 257-286). Presented at the Proceedings of the IEEE. doi:10.1109/5.18626

Bishop, Christopher. Pattern recognition and machine learning. Number 605-631 in Information Science and Statisitcs. Springer Science, 2006.

Capp\'e, O. (2001). H2M: A set of MATLAB/OCTAVE functions for the EM estimation of mixtures and hidden Markov models. (http://perso.telecom-paristech.fr/cappe/h2m/)

# See Also

```
mainSeekSingleChrom,nbh.integer,nbh.GRanges
```

34 nbh.GRanges

# **Examples**

```
# Retrieve system files
extdata.dir <- system.file("extdata", package="RIPSeeker")
bamFiles <- list.files(extdata.dir, ".bam$", recursive=TRUE, full.names=TRUE)
bamFiles <- grep("PRC2", bamFiles, value=TRUE)
alignGal <- getAlignGal(bamFiles[1], reverseComplement=TRUE, genomeBuild="mm9")
alignGR <- as(alignGal, "GRanges")
alignGRList <- GRangesList(as.list(split(alignGR, seqnames(alignGR))))
binSize <- 1e5  # use a large fixed bin size for demo only
binGR <- binCount(alignGRList$chrX, binSize)
# test on GRanges object
nbhGR <- nbh(binGR, 2, runViterbi=TRUE)
# test on integer object
nbhList <- nbh(values(binGR)$count, 2, runViterbi=TRUE)</pre>
```

nbh.GRanges

Optimize HMM parameters based on the read counts on a chromosome

# **Description**

Inheritance function from nbh that receives an object of GRanges class with additional column of read counts (for each strand) and call nbh.integer to derive the most probable sequence of hidden states

# Usage

```
## S3 method for class 'GRanges' nbh(x, K, ...)
```

# **Arguments**

x GRanges with 'values' slot used for bin counts in 1D vector of integers.

K Number of hidden states.

... Extra arguments passed to nbh.integer for the actual HMM computation.

nbh.integer 35

#### Value

binGR

GRanges of bin counts with metadata slot saved for the optimized HMM parameters including alpha, beta for the K negative binomial mixture components and TRANS (the transition probabilities)

### Author(s)

Yue Li

#### References

Rabiner, L. R. (1989). A tutorial on hidden Markov models and selected applications in speech recognition (Vol. 77, pp. 257-286). Presented at the Proceedings of the IEEE. doi:10.1109/5.18626

Bishop, Christopher. Pattern recognition and machine learning. Number 605-631 in Information Science and Statisitcs. Springer Science, 2006.

Capp\'e, O. (2001). H2M: A set of MATLAB/OCTAVE functions for the EM estimation of mixtures and hidden Markov models. (http://perso.telecom-paristech.fr/cappe/h2m/)

#### See Also

```
mainSeekSingleChrom, nbh.integer
```

### **Examples**

```
if(interactive()) ?nbh # see nbh for example of nbh running on GRanges object
```

nbh.integer

HMM posterior decoding and NB parameter optimization

# **Description**

Inherithance function from nbh that receives a vector of integers and compute optimal HMM parameters via EM algorithm.

# Usage

```
## S3 method for class 'integer'
nbh(x, K, NBM_NIT_MAX = 250,
NBM_TOL = 0.01, NBH_NIT_MAX = 250,
NBH_TOL = 0.001, runViterbi = FALSE, ...)
```

# Arguments

x A vector of integers, conceptaully representing the read counts within bins of chromosome.

K Number of hidden states.

NBM\_NIT\_MAX Maximum number of EM iterations (Default: 250) for the negative binomial

mixture model (NBM) intialization step (See nbm\_em).

36 nbh.integer

NBM\_TOL Threshold as fraction of increase in likelihood (given the current NBM param-

eters) comparing with the likelihood from the last iteration. EM for the NBM

stops when the improvement is below the threshold (Default: 0.01).

NBH\_NIT\_MAX Maximum number of EM iterations (Default: 250) for the negative binomial

hidden Markov model (NBH).

NBH\_TOL Threshold as fraction of increase in likelihood (given the current NBH param-

eters) comparing with the likelihood from the last iteration. EM for the NBH

stops when the improvement is below the threshold (Default: 0.001).

runViterbi Binary indicator. If TRUE, Viterbi algorithm will be applied to derive the max-

imum likelihood hidden state sequence using the optimized HMM paramters

obtained from the EM (See nbh\_em).

Extra arguments are ignored.

#### **Details**

The function consists of three major steps: (1) negarive binomail mixture model used to initialized HMM parameters; (2) optimization of HMM parameters using EM algorithm; (3) Viterbi maximumliklihood estimation of hidden state sequence. Step (1) involves optimization of NBM parameters assuming the data points are independently sampled from a mixture of K NB distributions (See nbh\_init). Given the optimized paramters for K-NBM, step (2) drops the independence assumption by introducing the transition probibility between hidden variables, which is initlaized as the mixing proportions of NBM (See nbh\_init). Given the optimized HMM paramters, step (3) derives the maximum liklihood hidden state sequence using Viterbi algorithm. Step (3) is run only when runViterbi is TRUE.

#### Value

# A list containing:

initAlpha Initialized alpha of NBM from nbh\_init. initBeta Initialized beta of NBM from nbh\_init.

initTRANS Initialized mixing proportion of NBM from nbh\_init.

Posteriors of the K hidden states for each observed count derived from nbh\_em postprob

(e.g., posteriors of background and enriched state in a two-state HMM).

alpha Optimized alpha of the NB mixture components in the HMM using nbh\_em.

**TRANS** Optimized transition probability of the HMM using nbh\_em.

viterbi\_state Sequence of discrete values representing the hidden states derived from the

maxmium likelihood estimation using Viterbi algorithm (See nbh\_vit).

### Author(s)

Yue Li

# References

Rabiner, L. R. (1989). A tutorial on hidden Markov models and selected applications in speech recognition (Vol. 77, pp. 257-286). Presented at the Proceedings of the IEEE. doi:10.1109/5.18626

Bishop, Christopher. Pattern recognition and machine learning. Number 605-631 in Information

Science and Statisitcs. Springer Science, 2006.

Capp\'e, O. (2001). H2M: A set of MATLAB/OCTAVE functions for the EM estimation of mixtures and hidden Markov models. (http://perso.telecom-paristech.fr/cappe/h2m/)

nbh\_chk 37

#### See Also

```
mainSeekSingleChrom,nbh,nbh.GRanges
```

# **Examples**

if(interactive()) ?nbh # see nbh for example of nbh running on integer object

nbh\_chk

Check the parameters of the negative binomial HMM

# Description

The function verifies the numerical range and dimension of the NBH paramters alpha, beta, and TRANS and returns the number of hidden states. It is used in nbh\_em before running EM.

# Usage

```
nbh_chk(TRANS, alpha, beta)
```

# Arguments

TRANS ]	Expected a squared	matrix of probabilities	$s(0 \le p \le 1)$	l) with row and column
---------	--------------------	-------------------------	--------------------	------------------------

length equal to that of alpha and beta and row sum and column sum both equal

to 1 (within some numerical deviation of 1e-6).

alpha Expected a vector of positive values with length equal to that of beta and the

row/column of TRANS.

Expected a vector of positive values with length equal to that of alpha and the

row/column of TRANS.

## Value

N Number of components or equivalently the length of alpha, beta, or wght.

# Author(s)

Yue Li

#### References

Bishop, Christopher. Pattern recognition and machine learning. Number 605-631 in Information Science and Statisitcs. Springer Science, 2006.

Capp\'e, O. (2001). H2M: A set of MATLAB/OCTAVE functions for the EM estimation of mixtures and hidden Markov models. (http://perso.telecom-paristech.fr/cappe/h2m/)

# See Also

```
nbh_em,nbm_chk
```

nbh\_em

# **Examples**

```
# two hidden states TRANS <- matrix(c(0.9, 0.1, 0.3, 0.7), nrow=2, byrow=TRUE) alpha <- c(2, 4) beta <- c(1, 0.25) nbh_chk(TRANS, alpha, beta)
```

nbh\_em

Expectation conditional maximization of negative binomial HMM parameters using forward-backward algorithm

# Description

Given an input read count vector of integers, the function optimizes the parameters for the negative binomial HMM of K hidden states using expectation conditional maximization with forward-backward algorithm to acheive the exact inference.

# Usage

```
nbh_em(count, TRANS, alpha, beta, NBH_NIT_MAX = 250,
NBH_TOL = 1e-05, MAXALPHA = 1e+07, MAXBETA = 1e+07)
```

# **Arguments**

count	A vector of integers, conceptaully representative of the read counts within bins of chromosome.
TRANS	Transition probability matrix, a squared matrix of probabilities $(0 \le p \le 1)$ with row and column length equal to that of alpha and beta and row sum and column sum both equal to 1 (within some numerical deviation of 1e-6).
alpha	Shape parameter of the NB as a vector of positive values with length equal to that of beta and the row/column of TRANS.
beta	Inverse scale parameter of the NB as a vector of positive values with length equal to that of beta and the row/column of TRANS.
NBH_NIT_MAX	Maximum number of EM iterations (Default: 250) for the negative binomial hidden Markov model (NBH).
NBH_TOL	Threshold as fraction of increase in likelihood (given the current NBH parameters) comparing with the likelihood from the last iteration. EM for the NBH stops when the improvement is below the threshold (Default: 0.001).
MAXALPHA	The maximum value of alpha in case the update goes beyond the numerical upper limit of the system. Once alpha becomes larger than MAXALPHA, the EM itaration is prematurely terminated to prevent malfunction.
MAXBETA	The maximum value of beta in case the update goes beyond the numerical upper limit of the system. Once beta becomes larger than MAXBETA, the EM itaration is prematurely terminated to prevent malfunction.

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#### **Details**

Given a K-state HMM with NB emission (NBH), the goal is to maximize the likelihood function with respect to the parameters comprising of  $\alpha_k$  and  $\beta_k$  for the K NB components and the transition probabilities  $A_jk$  between any state j and k, which are the priors p(z=k). Because there is no analytical solution for the maximum likelihood (ML) estimators of the above quantities, a modified EM procedures called Expectation Conditional Maximization is employed (Meng and Rubin, 1994).

In E-step, the posterior probability is evaluated by forward-backward algorithm using NB density functions with initialized alpha, beta, and TRANS. In the CM step,  $A_jk$  is evaluated first followed by Newton updates of  $\alpha_k$  and  $\beta_k$ . EM iteration terminates when the percetnage of increase of log likelihood drop below NBH\_TOL, which is deterministic since EM is guaranteed to converge. For more details, please see the manuscript of RIPSeeker.

#### Value

A list containing:

alpha optimized alpha\_k for NB at state K
beta optimized beta\_k for NB at state K
TRANS optimized transition probability matrix
log1 Log likelihood in each EM iteration.

postprob Posterior probabilities for each observed data point at the last EM iteration.

dens the negative binomial probabilities computed at the last EM iteration

#### Author(s)

Yue Li

#### References

Rabiner, L. R. (1989). A tutorial on hidden Markov models and selected applications in speech recognition (Vol. 77, pp. 257-286). Presented at the Proceedings of the IEEE. doi:10.1109/5.18626 Christopher Bishop. Pattern recognition and machine learning. Number 605-631 in Information Science and Statistics. Springer Science, 2006.

X. L. Meng, D. B. Rubin, Maximum likelihood estimation via the ECM algorithm: A general framework, Biometrika, 80(2):267-278 (1993).

J. A. Fessler, A. O. Hero, Space-alternating generalized expectation-maximization algorithm, IEEE Tr. on Signal Processing, 42(10):2664 -2677 (1994).

Capp\'e, O. (2001). H2M: A set of MATLAB/OCTAVE functions for the EM estimation of mixtures and hidden Markov models. (http://perso.telecom-paristech.fr/cappe/h2m/)

#### See Also

```
nbh_init,nbh,nbh.GRanges,nbh_vit,nbm_em
```

```
# Simulate data TRANS_s <- matrix(c(0.9, 0.1, 0.3, 0.7), nrow=2, byrow=TRUE) alpha_s <- c(2, 4) beta_s <- c(1, 0.25) Total <- 100
```

40 nbh\_gen

```
x <- nbh_gen(TRANS_s, alpha_s, beta_s, Total);</pre>
count <- x$count</pre>
label <- x$label
Total <- length(count)</pre>
# dummy initialization
TRANS0 <- matrix(rep(0.5,4), 2)
alpha0 <- c(1, 20)
beta0 <- c(1, 1)
NIT_MAX <- 50
TOL <- 1e-100
nbh <- nbh_em(count, TRANS0, alpha0, beta0, NIT_MAX, TOL)</pre>
map.accuracy <- length(which(max.col(nbh$postprob) == label))/Total</pre>
vit <- nbh_vit(count, nbh$TRANS, nbh$alpha, nbh$beta)</pre>
vit.accuracy <- length(which(vit$class == label))/Total</pre>
# Plots
par(mfrow=c(2,2), cex.lab=1.2, cex.main=1.2)
plot(count, col="blue", type="l", main=sprintf("A. Simulated Data (Total = %i)",Total))
plot(as.numeric(nbh$log1), xlab="EM Iteration", ylab="Log-Likelihood",
main="B. Log-Likelihood via EM");grid()
# Marginal postprob
plot(nbh$postprob[,2], col="blue", type="l", ylim = c(0,1),
ylab="Marginal Posteriror or True State")
points(label-1, col="red")
title(main = sprintf("C. MAP Prediciton Accuracy = %.2f%s", 100 * map.accuracy, "%"))
# Viterbi states
plot(vit$class - 1, col="dark green", type="1", ylim = c(0,1),
ylab="Viterbi or True State")
points(label-1, col="red")
title(main = sprintf("D. Viterbi Prediciton Accuracy = %.2f%s", 100 * vit.accuracy, "%"))
```

nbh\_gen

Simulate data from a negative binomial HMM.

## **Description**

Generate count data and the hidden states based on the user-supplied HMM paramters.

nbh\_gen 41

## Usage

```
nbh_gen(TRANS, alpha, beta, Total)
```

# **Arguments**

TRANS Expected a squared matrix of probabilities  $(0 \le p \le 1)$  with row and column

length equal to that of alpha and beta and row sum and column sum both equal

to 1 (within some numerical deviation of 1e-6).

alpha Expected a vector of positive values with length equal to that of beta and the

row/column of TRANS.

beta Expected a vector of positive values with length equal to that of alpha and the

row/column of TRANS.

Total number of data points to generate.

## Value

A list containing:

count Simulation count data.

label Hidden states associated with the simulated data.

# Author(s)

Yue Li

# References

Capp\'e, O. (2001). H2M: A set of MATLAB/OCTAVE functions for the EM estimation of mixtures and hidden Markov models. (http://perso.telecom-paristech.fr/cappe/h2m/)

## See Also

```
nbh_em,nbm_chk,randindx
```

42 nbh\_init

nbh_init	Initialize negative binomial HMM parameters using negative binomial mixture model

# **Description**

The function finds a sensible set of initial NB HMM parameters by fitting a NB mixture model of K components using the read count data.

# Usage

```
nbh_init(count, K, NBM_NIT_MAX = 250, NBM_TOL = 0.001)
```

#### **Arguments**

count A vector of integers, conceptaully representing the read counts within bins of

chromosome.

K Number of hidden states.

NBM\_NIT\_MAX Maximum number of EM iterations (Default: 250) for the negative binomial

mixture model (NBM) intialization step (See nbm\_em).

NBM\_TOL Threshold as fraction of increase in likelihood (given the current NBM param-

eters) comparing with the likelihood from the last iteration. EM for the NBM

stops when the improvement is below the threshold (Default: 0.01).

#### **Details**

Because the EM algorithm in HMM tends to fall into local optimal with poor initialization, NB mixture model with K mixture components (K-NBM) is first applied to the data to obtain a reasonable estimate for the HMM parameters. Given the read count vector, the function applied the lower level function nbm\_em (NB mixture model) to find alpha, beta, and mixing proportion of the K NB mixture components. Alpha and beta are the parameters of the NB mixture components initialized as the last K quantiles of the nonzero read counts and 1, respectively. The mixing proportions or component weights (wght) of the NB distributions are first initialized as uniform and after EM optimization are used to form a symmetrical transition probability matrix such that probability of state 1 transitioning to state 2 is equal to the probability of state 2 transitioning to state 1. Such matrix is used as the initial transition probability for the HMM model tranning (See nbh\_em).

#### Value

## A list containing:

alpha Alpha paramter of the K NB components optimized using nbm\_em beta Beta paramter of the K NB components optimized using nbm\_em

TRANS Transition probability intialized as a symmetrical matrix of mixing proportion

of the K NB components optimized using nbm\_em.

## Author(s)

Yue Li

nbh\_init 43

#### References

Rabiner, L. R. (1989). A tutorial on hidden Markov models and selected applications in speech recognition (Vol. 77, pp. 257-286). Presented at the Proceedings of the IEEE. doi:10.1109/5.18626 Christopher Bishop. Pattern recognition and machine learning. Number 605-631 in Information Science and Statisites. Springer Science, 2006.

Capp\'e, O. (2001). H2M: A set of MATLAB/OCTAVE functions for the EM estimation of mixtures and hidden Markov models. (http://perso.telecom-paristech.fr/cappe/h2m/)

#### See Also

```
nbm_em,nbh,nbh.GRanges,nbh_em
```

```
# Simulate data
Total_train <- 1000
Total_test <- 200
TRANS_s <- matrix(c(0.9, 0.1, 0.5, 0.5), nrow=2, byrow=TRUE)
alpha_s \leftarrow c(2, 2)
beta_s <- c(1, 0.25)
train <- nbh_gen(TRANS_s, alpha_s, beta_s, Total_train)</pre>
test <- nbh_gen(TRANS_s, alpha_s, beta_s, Total_test)</pre>
nbhInit <- nbh_init(train$count, ncol(TRANS_s))</pre>
count <- train$count</pre>
label <- train$label</pre>
# NBH initialization
nbhInit <- nbh_init(count, ncol(TRANS_s))</pre>
TRANS0 <- nbhInit$TRANS
alpha0 <- nbhInit$alpha
beta0 <- nbhInit$beta</pre>
nbh <- nbh_em(count, TRANS0, alpha0, beta0)</pre>
map.accuracy <- length(which(max.col(nbh$postprob) == label))/Total_train</pre>
vit <- nbh_vit(count, nbh$TRANS, nbh$alpha, nbh$beta)</pre>
vit.accuracy <- length(which(vit$class == label))/Total_train</pre>
vit_test <- nbh_vit(test$count, nbh$TRANS, nbh$alpha, nbh$beta)</pre>
vit_test.accuracy <- length(which(vit_test$class == test$label))/Total_test</pre>
nbh_wt_KMLinit <- list(mapAccuracy_train=map.accuracy, vitAccuracy_train=vit.accuracy,</pre>
vitLogl_train=vit$logl, vitAccuracy_test=vit_test.accuracy,
vitLogl_test=vit_test$logl)
```

44 nbh\_vit

nbh_vit	Derive maximum likelihood hidden state sequence using Viterbi algorithm

# **Description**

Given read counts and HMM parameters (optimized by nbh\_em), derive the sequence of hidden states that maximizes the joint likelihood of observed and latent data.

## Usage

```
nbh_vit(count, TRANS, alpha, beta)
```

## **Arguments**

_	
count	A vector of integers, conceptaully representative of the read counts within bins of chromosome.
TRANS	Optimized transition probability matrix, a squared matrix of probabilities ( $0 \le p \le 1$ ) with row and column length equal to that of alpha and beta and row sum and column sum both equal to 1 (within some numerical deviation of 1e-6).
alpha	Optimized shape parameter of the NB as a vector of positive values with length equal to that of beta and the row/column of TRANS.
beta	Optimized inverse scale parameter of the NB as a vector of positive values with length equal to that of beta and the row/column of TRANS.

# **Details**

Given a K-state HMM with NB emission (NBH), the goal is to find the latent states corresponding to the observed data that maximize the joint likelihood  $lnp(X,Z) = lnp(x_1,\ldots,x_N,z_1,\ldots,z_N)$ . The optimal solution is obtained via Viterbi algorithm, which essentially belongs to the more general framework of Dynamic Programming.

Briefly, starting from the second node of the Markov chain, we select state of the first node that maximizes  $lnp(x_1,x_2,z_2|z_1)$  for *every* state of  $z_2$ . Then, we move on to the next node and the next until reaching to the last node. In the end, we make choice for the state of the last node that together leads to the maximum lnp(X,Z). Finally, we backtrack to find the choices of states in all of the intermediate nodes to form the final solution.

#### Value

## A list containing:

class ML sequence of latent states

log1 Log-likelihood corresponding to the latents states class

## Note

The function is expected to run after learning the model parameters of HMM using nbh\_em and (optionally) disambiguating the multihits using nbh\_vit. However, nothing prevents user from running it with a random set of HMM parameters. Also, note that Viterbi algorithm finds the most probable *sequence of states*, which is not the same as maximizing the posterior probabilities for all the individual latent variables. For instance, a observed data point may be classified as from state 2 in the most probable chain in spite its marginal posterior probability for state 2 is zero.

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## Author(s)

Yue Li

#### References

Rabiner, L. R. (1989). A tutorial on hidden Markov models and selected applications in speech recognition (Vol. 77, pp. 257-286). Presented at the Proceedings of the IEEE. doi:10.1109/5.18626

Christopher Bishop. Pattern recognition and machine learning. Number 605-631 in Information Science and Statisites. Springer Science, 2006.

X. L. Meng, D. B. Rubin, Maximum likelihood estimation via the ECM algorithm: A general framework, Biometrika, 80(2):267-278 (1993).

J. A. Fessler, A. O. Hero, Space-alternating generalized expectation-maximization algorithm, IEEE Tr. on Signal Processing, 42(10):2664 -2677 (1994).

Capp\'e, O. (2001). H2M: A set of MATLAB/OCTAVE functions for the EM estimation of mixtures and hidden Markov models. (http://perso.telecom-paristech.fr/cappe/h2m/)

## See Also

```
nbh_init,nbh,nbh.GRanges,nbh_em,nbm_em
```

```
# Simulate data
TRANS_s <- matrix(c(0.9, 0.1, 0.3, 0.7), nrow=2, byrow=TRUE)
alpha_s \leftarrow c(2, 4)
beta_s <- c(1, 0.25)
Total <- 100
x <- nbh_gen(TRANS_s, alpha_s, beta_s, Total);</pre>
count <- x$count
label <- x$label</pre>
Total <- length(count)
# dummy initialization
TRANS0 <- matrix(rep(0.5,4), 2)
alpha0 <- c(1, 20)
beta0 <- c(1, 1)
NIT_MAX <- 50
TOL <- 1e-100
nbh <- nbh_em(count, TRANS0, alpha0, beta0, NIT_MAX, TOL)</pre>
map.accuracy <- length(which(max.col(nbh$postprob) == label))/Total</pre>
vit <- nbh_vit(count, nbh$TRANS, nbh$alpha, nbh$beta)</pre>
vit.accuracy <- length(which(vit$class == label))/Total</pre>
par(mfrow=c(2,2), cex.lab=1.2, cex.main=1.2)
```

46 nbm\_chk

```
plot(count, col="blue", type="l", main=sprintf("A. Simulated Data (Total = %i)",Total))
plot(as.numeric(nbh$logl), xlab="EM Iteration", ylab="Log-Likelihood",
main="B. Log-Likelihood via EM");grid()

# Marginal postprob
plot(nbh$postprob[,2], col="blue", type="l", ylim = c(0,1),
ylab="Marginal Posteriror or True State")
points(label-1, col="red")
title(main = sprintf("C. MAP Prediciton Accuracy = %.2f%s", 100 * map.accuracy, "%"))

# Viterbi states
plot(vit$class - 1, col="dark green", type="l", ylim = c(0,1),
ylab="Viterbi or True State")
points(label-1, col="red")
title(main = sprintf("D. Viterbi Prediciton Accuracy = %.2f%s", 100 * vit.accuracy, "%"))
```

nbm\_chk

Check the parameters of the negative binomial mixture model

## **Description**

The function verifies the numerical range and dimension of the NBM paramters alpha, beta, and wght and returns the number of components. It is used in nbm\_em before running EM.

## Usage

```
nbm_chk(alpha, beta, wght)
```

## Arguments

alpha Expected a vector of positive values with length equal to that of beta and wght. Expected a vector of positive values with length equal to that of alpha and wght. Expected a vector of probabilities ( $0 \le p \le 1$ ) with length equal to that of alpha and beta and summed to 1 (within some numerical deviation of 1e-6).

# Value

N

Number of components or equivalently the length of alpha, beta, or wght.

# Author(s)

Yue Li

#### References

Christopher Bishop. Pattern recognition and machine learning. Number 605-631 in Information Science and Statisitcs. Springer Science, 2006.

Capp\'e, O. (2001). H2M: A set of MATLAB/OCTAVE functions for the EM estimation of mixtures and hidden Markov models. (http://perso.telecom-paristech.fr/cappe/h2m/)

nbm\_em 47

#### See Also

```
nbm_em,nbh_chk
```

#### **Examples**

```
# two mixing components
wght <- c(0.5,0.5)
alpha <- c(1, 20)
beta <- c(1, 1)
nbm_chk(alpha, beta, wght)</pre>
```

nbm\_em

Expectation conditional maximization of likelihood for negative binomial mixture model

# Description

Given an input read count vector of integers, the function optimzes the parameters for the negative binomial mixture model of K components using expectation conditional maximization.

# Usage

```
nbm_em(count, alpha, beta, wght, NBM_NIT_MAX = 250, NBM_TOL = 0.01)
```

# **Arguments**

count A vector of integers, conceptaully representing the read counts within bins of

chromosome.

alpha Initial values for  $\alpha_k$  for all K NB. beta Initial values for  $\beta_k$  for all K NB. wght Initial values for  $\pi_k$  for all K NB.

NBM\_NIT\_MAX Maximum number of EM iterations (Default: 250).

NBM\_TOL Threshold as fraction of increase in likelihood (given the current NBM param-

eters) comparing with the likelihood from the last iteration. EM for the NBM

stops when the improvement is below the threshold (Default: 0.01).

## **Details**

Given a K-NBM, the goal is to maximize the likelihood function with respect to the parameters comprising of  $\alpha_k$  and  $\beta_k$  for the K NB components and the mixing coefficients  $\pi_k$ , which are the priors p(z=k). Because there is no analytical solution for the maximum likelihood (ML) estimators of the above quantities, a modified EM procedures called Expectation Conditional Maximization is employed (Meng and Rubin, 1994).

In E-step, the posterior probability is evaluated using NB density functions with initialized  $\alpha_k$ ,  $\beta_k$ , and  $\pi_k$ . In the CM step,  $\pi_k$  is evaluated first followed by Newton updates of  $\alpha_k$  and  $\beta_k$ . EM iteration terminates when the percetnage of increase of log likelihood drop below NBM\_TOL, which is deterministic since EM is guaranteed to converge. For more details, please see the manuscript of RIPSeeker.

nbm\_em

#### Value

A list containing:

alpha alpha\_k for all K components of NB.

beta beta\_k for all K components of NB.

wght pi\_k for all K components of NB.

log1 Log likelihood in each EM iteration.

postprob Posterior probabilities for each observed data point in the last EM iteration.

# Author(s)

Yue Li

#### References

Bishop, Christopher. Pattern recognition and machine learning. Number 605-631 in Information Science and Statisitcs. Springer Science, 2006.

X. L. Meng, D. B. Rubin, Maximum likelihood estimation via the ECM algorithm: A general framework, Biometrika, 80(2):267-278 (1993).

J. A. Fessler, A. O. Hero, Space-alternating generalized expectation-maximization algorithm, IEEE Tr. on Signal Processing, 42(10):2664 -2677 (1994).

Capp\'e, O. (2001). H2M: A set of MATLAB/OCTAVE functions for the EM estimation of mixtures and hidden Markov models. (http://perso.telecom-paristech.fr/cappe/h2m/)

## See Also

```
nbh_init,nbh,nbh.GRanges,nbh_em
```

```
# Simulate data
TRANS_s <- matrix(c(0.9, 0.1, 0.3, 0.7), nrow=2, byrow=TRUE)
alpha_s \leftarrow c(2, 4)
beta_s <- c(1, 0.25)
Total <- 1000
x <- nbh_gen(TRANS_s, alpha_s, beta_s, Total);</pre>
N <- 2
cnt <- x$count
label <- x$label</pre>
Total <- length(cnt)
# dummy initialization
wght0 <- c(0.5, 0.5)
alpha0 <- c(1, 20)
beta0 <- c(1, 1)
NIT_MAX <- 50
TOL <- 1e-100
```

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```
# initialize param with nbm
nbm <- nbm_em(cnt, alpha0, beta0, wght0, NIT_MAX, TOL)
map.accuracy <- length(which(max.col(nbm$postprob) == label))/Total
print(map.accuracy)</pre>
```

plotCoverage

Plot read coverage for a GRanges object

# Description

An internal function used by plotStrandedCoverage to plot read counts within each fixed bin across the entire chromosome.

# Usage

```
plotCoverage(x, plotLegend = FALSE, legend.cex = 1, ...)
```

# **Arguments**

х	GRanges object with values slot saved for read counts within the corresponding ranges.
plotLegend	Binary indcator. If TRUE, legend will be plotted on the top left the plot. Legend is expected to be the chromsome name and length, which must be available in the GRange object argument.
legend.cex	Font size of the legend.
	Extra arguments passed to either the plot or the legend.

#### **Details**

The read counts is plotted in blue bars as positive integer across the x-axis as the sorted positions across the chromosome. The plot can be used to examine the overall alignment properties for each chromosome.

# Note

Users are not recommanded run this function directly but rather via a much more user friendly function plotStrandedCoverage.

## Author(s)

Yue Li

## References

P. Aboyoun, H. Pages and M. Lawrence (). GenomicRanges: Representation and manipulation of genomic intervals. R package version 1.8.9.

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## See Also

```
plotStrandedCoverage,plot,legend
```

# **Examples**

```
# Retrieve system files
extdata.dir <- system.file("extdata", package="RIPSeeker")
bamFiles <- list.files(extdata.dir, ".bam$", recursive=TRUE, full.names=TRUE)
bamFiles <- grep("PRC2", bamFiles, value=TRUE)
alignGal <- getAlignGal(bamFiles[1], reverseComplement=TRUE, genomeBuild="mm9")
alignGR <- as(alignGal, "GRanges")
alignGRList <- GRangesList(as.list(split(alignGR, seqnames(alignGR))))
binSize <- 1000
binGR <- binCount(alignGRList$chrX, binSize)
plotCoverage(binGR, plotLegend=TRUE)</pre>
```

plotStrandedCoverage Plot strand-specific read coverage for a GRanges object

# Description

Plot read counts within fixed bin across the entire chromosome.

# Usage

```
plotStrandedCoverage(gr, binSize = 1000, plotLegend = FALSE, ylim, ...)
```

# **Arguments**

gr	GRanges object containing the alignments.
binSize	Integer indicate the size of the bin used to compute and plot the read counts.
plotLegend	Binary indcator. If TRUE, legend will be plotted on the top left the plot. Legend is expected to be the chromsome name and length, which must be available in the GRange object argument.
ylim	A two element scale on the y-axis, indicating the maximum read counts on the $+$ and $-$ strand to be plotted (e.g., ylim=c(-200, 200)).
	Extra arguments passed to plotCoverage.

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#### **Details**

Read count on + and - strand are displayed as red and blue bars on the positive and negative y-axis, respectively. The x-axis indicates the positions across the chromosmoe. The plot can be used to examine for each chromosome the overall alignment properties such as strand specificity (expected in non-strand-specific sequencing) and aggregation of reads.

## Author(s)

Yue Li

# References

P. Aboyoun, H. Pages and M. Lawrence (). GenomicRanges: Representation and manipulation of genomic intervals. R package version 1.8.9.

#### See Also

```
plotCoverage,plot,legend
```

## **Examples**

```
# Retrieve system files
extdata.dir <- system.file("extdata", package="RIPSeeker")

bamFiles <- list.files(extdata.dir, ".bam$", recursive=TRUE, full.names=TRUE)

bamFiles <- grep("PRC2", bamFiles, value=TRUE)

alignGal <- getAlignGal(bamFiles[1], reverseComplement=TRUE, genomeBuild="mm9")

alignGR <- as(alignGal, "GRanges")

alignGRList <- GRangesList(as.list(split(alignGR, seqnames(alignGR))))

binSize <- 1000

plotStrandedCoverage(gr=alignGRList$chrX, binSize=binSize,

xlab="", ylab="", plotLegend=TRUE, box.lty=0, legend.cex=2 )</pre>
```

randindx

Generates random indexes with a specified probability distribution

# **Description**

Returns an array of T indexes distributed as specified by p (which should be a normalized probability vector).

## Usage

```
randindx(p, Total, NO_CHK)
```

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# **Arguments**

p	A row vector of normalized probabilities that dictate the transition probability	
	from the current state to the next state. For example, $p = [0.2, 0.8]$ indicates	
	the current state transitoins to state 1 at 0.2 and 2 at 0.8. The current state itself can either be the state 1 or 2.	
Total	Total number of states needed to be generated using the input transition vector.	

Check whether the first argument is a valid row vector of normalized probabili-

ties.

# **Details**

NO\_CHK

The function is used by nbh\_gen to generate random data point based on the user-supplied transition probability matrix.

## Value

I Index/Indices or state(s) sampled following the transition. probability.

## Author(s)

Yue Li

## References

Capp\'e, O. (2001). H2M: A set of MATLAB/OCTAVE functions for the EM estimation of mixtures and hidden Markov models. (http://perso.telecom-paristech.fr/cappe/h2m/)

# See Also

nbh\_gen

```
# Total contains the length of data to simulate
Total <- 100

# number of states
N <- 2

# transition probabilities between states
TRANS <- matrix(c(0.9, 0.1, 0.3, 0.7), nrow=2, byrow=TRUE)

label <- matrix(0, Total, 1)

# Simulate initial state
label[1] <- randindx(matrix(1,ncol=N)/N, 1, 1)

# Use Markov property for the following time index
for(t in 2:Total) {

label[t] <- randindx(TRANS[label[t-1],], 1, 1)
}</pre>
```

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plot(label)

ripSeek

HMM-based de novo RIP predictions using alignment data

## **Description**

This function is the main interface to most essential functions of RIPSeeker package.

## Usage

```
ripSeek(bamPath, cNAME, binSize = NULL, strandType = NULL,
paired=FALSE, biomaRt_dataset, goAnno, exportFormat = "gff3",
annotateFormat = "txt", annotateType = "TSS", outDir,
padjMethod = "BH", logOddCutoff = 0, pvalCutoff = 1,
pvalAdjCutoff = 1, eFDRCutoff = 1, ...)
```

## Arguments

bamPath Either a path to all of the bam files or a list of paths to individual BAM files.

BED and SAM files are also accepted.

cNAME An identifier pattern found in the control alignment files. Once specified, these

files will be used as control and the remaining files as RIP for discriminative

analysis (see seekRIP).

binSize Size to use for binning the read counts across each chromosome. If NULL,

optimal bin size within a range (default: minBinSize=200, maxBinSize=1200)

will be automatically selected (see selectBinSize).

strandType Type of strand can be +, -, or \* as in GAlignments, GAlignmentPairs, or GRanges

(see GenomicRanges).

paired Binary to indicate whether the library is paired-end (TRUE) or single-end (FALSE

by default) (see getAlignGal).

biomaRt\_dataset

The dataset name used in biomaRt for retrieving genomic information for a

given species name (see annotateRIP).

goAnno GO dataset name used for GO enrichment analysis (See annotateRIP).

exportFormat Format to export the RIP predictions. The commonly used ones are GFF and

BED, which can be directly imported as a track to a genomic viewer such as

Integrative Genomic Viewer, SAVANT or USCSC browser.

annotateFormat Format to export the annotated RIP predictions. The default "txt" is a tab-

delimited format, recommanded for viewing in Excel.

annotateType Type of genomic information in association with the RIP predictions that can be

retrieved from Ensembl database (Default: TSS; See annotateRIP).

outDir Output directory to save the results. The output data include ...

padjMethod Method to adjust multiple testing (Benjamini-Hocherge method by default).

logOddCutoff Threshold for the log odd ratio of posterior for the RIP over the background

states (See seekRIP). Only peaks with logOdd score greater than the logOddCutoff

will be reported. Default: 1.

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pvalCutoff Threshold for the p-value for the logOdd score. Only peaks with p-value less

than the pvalCutoff will be reported. Default: 1 (i.e. no cutoff).

Threshold for the adjusted p-value for the logOdd score. Only peaks with adpvalAdjCutoff

justed p-value less than the pvalAdjCutoff will be reported. Default: 1 (i.e. no

cutoff).

eFDRCutoff Threshold for the empirical false discovery rate (eFDR). Only peaks with eFDR

less than the eFDRCutoff will be reported. Default: 1 (i.e. no cutoff).

Arguments passed to mainSeek.

#### **Details**

This is the main front-end function of RIPSeeker and in many cases the only function that users need to get RIP predictions and all relevant information.

#### Value

A list is returned with the following items:

## mainSeekOutputRIP

A (inner) list comprising three items:

nbhGRList: GRangesList of the HMM trained parameters for each chromo-

alignGal, alignGalFiltered: GAlignments objects of the RIP alignment outputs from combineAlignGals and disambiguateMultihits, respectively. The former may contain multiple alignments due to the same reads whereas the latter contains a one-to-one mapping from read to alignment after disambiguating the multihits.

# mainSeekOutputCTL

Same as mainSeekOutputRIP but for the control library (if available).

**RIPGRList** 

The results as GRangesList generated from the RIP peak detection. Each list item represents the RIP peaks on a chromosome accompanied with statistical scores including (read) count, logOddScore, pval, pvalAdj, eFDR for the RIP and control (if available). Please refer to seekRIP for more details.

annotatedRIPGR If annotatedRIPGR is TRUE, the additional genomic information will be retreived according to the genomic coordinates of the peaks in RIPGRList. The results are saved in this separate GRanges object as the final results that user will find the most useful.

## Note

You may only want to know the expression/abundance of known transcripts/genes or the foldchange between two conditions. In that case, use rulebaseRIPSeek and computeRPKM, respectively. Both singl-end and paired-end alignments are supported in these functions.

# Author(s)

Yue Li

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#### References

Zhao, J., Ohsumi, T. K., Kung, J. T., Ogawa, Y., Grau, D. J., Sarma, K., Song, J. J., et al. (2010). Genome-wide Identification of Polycomb-Associated RNAs by RIP-seq. Molecular Cell, 40(6), 939D953. doi:10.1016/j.molcel.2010.12.011

The RIPSeeker manuscript has been submitted to NAR for review.

## See Also

rulebaseRIPSeek

```
if(interactive()) { # need internet connection
# Retrieve system files
extdata.dir <- system.file("extdata", package="RIPSeeker")</pre>
bamFiles <- list.files(extdata.dir, ".bam$", recursive=TRUE, full.names=TRUE)</pre>
bamFiles <- grep("PRC2", bamFiles, value=TRUE)</pre>
cNAME <- "SRR039214" # specify control name
# output file directory
outDir <- paste(getwd(), "ripSeek_example", sep="/")</pre>
# Parameters setting
binSize <- NULL # automatically determine bin size</pre>
minBinSize <- 10000 # min bin size in automatic bin size selection</pre>
maxBinSize <- 12000 # max bin size in automatic bin size selection</pre>
multicore <- TRUE # use multicore</pre>
strandType <- "-" # set strand type to minus strand
biomart <- "ENSEMBL_MART_ENSEMBL" # use archive to get ensembl 65</pre>
dataset <- "mmusculus_gene_ensembl" # mouse dataset id name</pre>
host <- "dec2011.archive.ensembl.org" # use ensembl 65 for annotation
goAnno <- "org.Mm.eg.db"</pre>
seekOut <- ripSeek(bamPath=bamFiles, cNAME=cNAME,</pre>
binSize=binSize, minBinSize = minBinSize,
maxBinSize = maxBinSize, strandType=strandType,
outDir=outDir, silentMain=FALSE,
verbose=TRUE, reverseComplement=TRUE, genomeBuild="mm9",
biomart=biomart, host=host,
biomaRt_dataset = dataset,
goAnno = goAnno,
uniqueHit = TRUE, assignMultihits = TRUE,
rerunWithDisambiguatedMultihits = TRUE, multicore=multicore)
viewRIP(seekOut$RIPGRList$chrX, seekOut$mainSeekOutputRIP$alignGalFiltered,
```

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```
seekOut$mainSeekOutputCTL$alignGalFiltered, scoreType="eFDR")
}
```

rulebaseRIPSeek

Compute the RPKM and foldchange between two conditions for the annotated genes

# **Description**

The function takes alignments in two conditions (with replicates) as input and computes the gene expression in the two conditions in the unit of RPKM (reads per kilobase of exon per million mapped reads) or FPKM for paired-end alignments (where "F" stands for the fragment the matepairs are derived from), and then the foldchange ratio of the RPKM of each gene in RIP or treatment condition in general over control condition. The control files (i.e. the denominator in the foldchange ratio) is specified by user in the "cNAME" argument.

# Usage

```
rulebaseRIPSeek(bamFiles, cNAME, featureGRanges, rpkmCutoff = 0.4,
fcCutoff = 3, moreRIPGeneInfo = TRUE, idType = "ensembl_transcript_id",
myMin = .Machine$double.xmin, saveRData, ...)
```

# Arguments

bamFiles	A list of one or more BAM/SAM/BED alignment files.	
cNAME	An identifer pattern found in the control alignment files. Once specified, these files will be used as control as the denomenator of the foldchange ratio and the remaining files as RIP, the numarator of the foldchange ratio.	
featureGRanges	GRanges of features as an optional argument for function to compute RPKM/FPKM just for those features without retrieving online annotations.	
rpkmCutoff	Cutoff for RPKM in RIP above which the genes will be reported if the fcCutoff is also satisfied (Default: 0.4).	
fcCutoff	Cutoff for foldchange in RIP relative to the control above which the genes will be reported if the rpkmCutoff is also satisfied (Default: 3).	
moreRIPGeneInfo		
	Binary indicator to indicate whether to download more information for each genes/transcripts rather than having only the gene/transcript IDs (Default: TRUE).	
idType	A character string that specifies the type of the annotations, which can "ensembl_transcript_id" (Default), "ensembl_gene_id", "ucsc", etc. Refer to $listFilters$ for more information.	
myMin	Add a small value to both the numerator and denomenator as "pseudocount" to prevent the case where the denomenator is zero and the ratio becomes infinity regardless the value of the numerator (Default: .Machine\$double.xmin).	
saveRData	Path of output RData and tab-delim results.	

Extra arguments passed to computeRPKM and/oruseMart.

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#### **Details**

The function uses computeRPKM to download annotation and compute RPKM/FPKM of the annotated genes in the list of files. The alignments file are separated into control as identified by the "cNAME" and the RIP (or any treatment) that do not have the cNAME in their file names. The alignments in either group are pooled together. If moreRIPGeneInfo is specified, the function witll query the Ensembl database. The chromosome ID in the numerical format used in Ensembl is prefixed with "chr" and the strand 1 and -1 converted to + and - for convenience.

#### Value

A list containing the following items:

nRPKM RPKM of genes in RIP or treatment condition ('n' stands for numerator in the

foldchange ratio).

dRPKM RPKM of genes in control condition ('d' stands for denomenator in the fold-

change ratio)

rpkmDF Data frame containing read count, RPKM for the RIP (or treatment) and con-

trol, foldchange, and optional gene information including "chromosome name",

"start\_position", "end\_position", "strand", "external\_gene\_id", "ensembl\_transcript\_id",

"ensembl\_gene\_id", "ucsc", "description"

rpkmCutoff Cutoff used for RPKM as book keeping value.

fcCutoff Cutoff used for foldchange as book keeping value.

featureGRanges GRanges object of the features for which the RPKM and foldchange are com-

puted.

#### Note

Also works for RNA-seq alignments.

# Author(s)

Yue Li

#### References

Zhao, J., Ohsumi, T. K., Kung, J. T., Ogawa, Y., Grau, D. J., Sarma, K., Song, J. J., et al. (2010). Genome-wide Identification of Polycomb-Associated RNAs by RIP-seq. Molecular Cell, 40(6), 939D953. doi:10.1016/j.molcel.2010.12.011

M. Carlson, H. Pages, P. Aboyoun, S. Falcon, M. Morgan, D. Sarkar and M. Lawrence. GenomicFeatures: Tools for making and manipulating transcript centric annotations. R package version 1.8.2.

P. Aboyoun, H. Pages and M. Lawrence (). GenomicRanges: Representation and manipulation of genomic intervals. R package version 1.8.9.

Mapping identifiers for the integration of genomic datasets with the R/Bioconductor package biomaRt. Steffen Durinck, Paul T. Spellman, Ewan Birney and Wolfgang Huber, Nature Protocols 4, 1184-1191 (2009).

BioMart and Bioconductor: a powerful link between biological databases and microarray data analysis. Steffen Durinck, Yves Moreau, Arek Kasprzyk, Sean Davis, Bart De Moor, Alvis Brazma and Wolfgang Huber, Bioinformatics 21, 3439-3440 (2005).

Martin Morgan and Herv\'e Pag\'es (). Rsamtools: Binary alignment (BAM), variant call (BCF), or tabix file import. R package version 1.8.5. http://bioconductor.org/packages/release/bioc/html/Rsamtools.html

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#### See Also

 $\verb|makeTxDbFromBiomart,makeTxDbFromUCSC,useMart,exonsBy,cdsBy,intronsByTranscript,fiveUTRsBy$ 

## **Examples**

```
if(interactive()) {
# Retrieve system files
extdata.dir <- system.file("extdata", package="RIPSeeker")</pre>
bamFiles <- list.files(extdata.dir, ".bam$", recursive=TRUE, full.names=TRUE)</pre>
bamFiles <- grep("PRC2", bamFiles, value=TRUE)</pre>
cNAME <- "SRR039214" # specify control name
# output file directory
outDir <- paste(getwd(), "ripSeek_example")</pre>
# use biomart
txDbName <- "biomart"</pre>
biomart <- "ENSEMBL_MART_ENSEMBL" # use archive to get ensembl 65</pre>
dataset <- "mmusculus_gene_ensembl"</pre>
host <- "dec2011.archive.ensembl.org" # use ensembl 65 for annotation
resultlist <- rulebaseRIPSeek(bamFiles, "SRR039214", dataset=dataset,
txDbName=txDbName, biomart=biomart, host=host, by="tx")
}
```

scoreMergedBins

Average log odd scores over bins being merged into a single region

## **Description**

Sum, normalize the read counts, and average the logOdd score over the bins being merged into a single enriced region.

# Usage

```
scoreMergedBins(findOverlapsHits, unmergedGRAll, mergedGRAll)
```

# Arguments

findOverlapsHits

Output from findOverlaps as two columns indices with the first column containing the indices for unmerged GRanges and the second column the indices of the matched merged GRanges.

```
unmergedGRAll GRanges before merging.
mergedGRAll GRanges after merging.
```

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#### **Details**

The consecutive RIP-bins predicted by the Viterbi function (See nbh\_vit) are merged into a single RIP region. An aggregate RIPScore as the averaged RIPScores over the associated merged bins is assigned to each merged RIP region. In the RIPSeeker workflow, the averaged RIPScore then becomes the representative score for the region and subject to significance test carried out in seekRIP.

#### Value

A merged GRanges each with scores including summed read count, averaged log odd scores, and FPK (fragment per kilobase of region length). The latter score represent a normalized read count.

#### Note

 $This function is expected to be called only from \verb|logScoreW| ithoutControl| and \verb|logScoreW| ithControl|.$ 

#### Author(s)

Yue Li

#### See Also

seekRIP,computeLogOddlogScoreWithControl,logScoreWithoutControl

```
if(interactive()) { # see example in seekRIP
# Retrieve system files
extdata.dir <- system.file("extdata", package="RIPSeeker")</pre>
bamFiles <- list.files(extdata.dir, ".bam$", recursive=TRUE, full.names=TRUE)</pre>
bamFiles <- grep("PRC2", bamFiles, value=TRUE)</pre>
# Parameters setting
binSize <- 1e5 # use a large fixed bin size for demo only
multicore <- FALSE # use multicore</pre>
strandType <- "-" # set strand type to minus strand</pre>
mainSeekOutputRIP <- mainSeek(bamFiles=</pre>
   grep(pattern="SRR039214", bamFiles, value=TRUE, invert=TRUE),
binSize=binSize, strandType=strandType,
reverseComplement=TRUE, genomeBuild="mm9",
uniqueHit = TRUE, assignMultihits = TRUE,
rerunWithDisambiguatedMultihits = TRUE,
multicore=multicore, silentMain=FALSE, verbose=TRUE)
nbhGRRIP <- mainSeekOutputRIP$nbhGRList$chrX</pre>
logOddScore <- computeLogOdd(nbhGRRIP)</pre>
values(nbhGRRIP) <- cbind(as.data.frame(values(nbhGRRIP)), logOddScore)</pre>
```

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```
enrichIdx <- which(values(nbhGRRIP)$viterbi_state == 2)</pre>
unmergedRIP <- nbhGRRIP[enrichIdx]</pre>
mergedRIP <- reduce(unmergedRIP, min.gapwidth = median(width(unmergedRIP) ))</pre>
overlapIdx <- findOverlaps(mergedRIP, unmergedRIP)</pre>
# a list with guery hits as names and subject hits as items
findOverlapsHits <- split(overlapIdx, queryHits(overlapIdx))</pre>
# get the score for the first merged region
x <- scoreMergedBins(findOverlapsHits[[1]], unmergedRIP, mergedRIP)</pre>
# get scores for all of the merged regions
mergedRIPList <- lapply(split(overlapIdx, queryHits(overlapIdx)),</pre>
scoreMergedBins, unmergedRIP, mergedRIP)
names(mergedRIPList) <- NULL</pre>
mergedRIP <- do.call(c, mergedRIPList)</pre>
# logOddScore is the averaged logOddScore across merged bins
mergedRIP
```

seekRIP

Identify significant peaks

## **Description**

Based on the posteriors derived from HMM by mainSeek, find the significant RIP regions derived from merging the adjacent RIP bins. The significance test makes use of the log odd ratio of the posteriors for RIP over the background states.

## Usage

```
seekRIP(nbhGRRIP, nbhGRCTL = NULL, padjMethod = "BH",
logOddCutoff = -Inf, pvalCutoff = 1, pvalAdjCutoff = 1,
eFDRCutoff = 1)
```

#### **Arguments**

nbhGRRIP	GRanges object for the RIP library created from mainSeek containing the posterior probabilities of the hidden states for each observed read count.
nbhGRCTL	An optional argument as a GRanges object for the control library created from mainSeek containing the posterior probabilities of the hidden states for each observed read count.
padjMethod	Method used to adjust multiple testing performed in p.adjust (Default: "BH").

Threshold for the log odd ratio of posterior for the RIP over the background logOddCutoff

states (See seekRIP). Only peaks with logOdd score greater than the logOddCutoff

will be reported. Default: -Inf (i.e. no cutoff).

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pvalCutoff Threshold for the adjusted p-value for the logOdd score. Only peaks with ad-

justed p-value less than the pvalAdjCutoff will be reported. Default: 1 (i.e. no

cutoff).

pvalAdjCutoff Threshold for the adjusted p-value for the logOdd score. Only peaks with ad-

justed p-value less than the pvalAdjCutoff will be reported. Default: 1 (i.e. no

cutoff).

eFDRCutoff Threshold for the empirical false discovery rate (eFDR). Only peaks with eFDR

less than the pvalAdjCutoff will be reported. Default: 1 (i.e. no cutoff).

#### **Details**

The RIPScore is computed in computel ogOdd as the log odd ratio of the posterior for the RIP state  $(z_i=2)$  over the posterior for the background state  $(z_i=1)$  in RIP library. When control is available, the RIPScore is updated by the difference between the RIPScores between RIP and control. The adjacent bins with hidden states predicted by  $nbh_vit$  as the enriched state (corresponding to the NB with larger mean) are merged. The RIPScores are averaged over the merged bins. To assess the statistical significance of the RIPScore for each region, we assume that the RIPScore follows a Gaussian (Normal) distribution with mean and standard deviation estimated using the RIPScores over all of the bins. The rationale is based on the assumption that most of the RIPScores correspond to the background state and together contribute to a stable estimate of the test statistics (TS) and p-value computed using the R built-in function pnorm.

The p-value is adjusted by p.adjust with BH method by default. The same procedure is applied optionally to the control library. Only when the control is available, is an empirical false discovery rate (eFDR) estimated based on the idea of "sample swap" inspired by MACS (a ChIP-seq algorithm from Zhange *el al.* (2008). At each p-value, RIPSeeker finds the number of significant RIP-regions over control (CTL) based on pvalRIP and the number of significant control regions over RIP based on pvalCTL. The eFDR is defined as the ratio of the number of "RIP" (false positive) regions identified from CTL-RIP comparison over the number of RIP regions from the RIP-CTL comparison. The maximum value for eFDR is 1 and minimum value for eFDR is max(p-value, 0). The former takes care of the case where the numerator is bigger than the denominator, and the latter for zero numerator.

## Value

GRanges object containing the merged bins with values slot saved for RIPScore (lodOdd), p-value (pval), adjusted p-value (pvalAdj) for RIP and optionally for control.

#### Note

Internal function used by ripSeek.

# Author(s)

Yue Li

#### References

Yong Zhang, Tao Liu, Clifford A Meyer, J\'er\\^ome Eeckhoute, David S Johnson, Bradley E Bernstein, Chad Nusbaum, Richard M Myers, Myles Brown, Wei Li, and X Shirley Liu. Model-based analysis of ChIP-Seq (MACS). Genome Biology, 9(9):R137, 2008.

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#### See Also

log Score With Control, log Score Without Control, empirical FDR, compute Log Odd, score Merged Bins, rip Seek Control, log Score With Control With Con

#### **Examples**

```
if(interactive()) {
# Retrieve system files
extdata.dir <- system.file("extdata", package="RIPSeeker")</pre>
bamFiles <- list.files(extdata.dir, ".bam$", recursive=TRUE, full.names=TRUE)</pre>
bamFiles <- grep("PRC2", bamFiles, value=TRUE)</pre>
# Parameters setting
binSize <- 1e5
                     # use a large fixed bin size for demo only
multicore <- FALSE # use multicore</pre>
strandType <- "-" # set strand type to minus strand</pre>
mainSeekOutputRIP <- mainSeek(bamFiles=grep(pattern="SRR039214",</pre>
   bamFiles, value=TRUE, invert=TRUE),
binSize=binSize, strandType=strandType,
reverseComplement=TRUE, genomeBuild="mm9",
uniqueHit = TRUE, assignMultihits = TRUE,
rerunWithDisambiguatedMultihits = FALSE,
multicore=multicore, silentMain=FALSE, verbose=TRUE)
mainSeekOutputCTL <- mainSeek(bamFiles=grep(pattern="SRR039214",</pre>
   bamFiles, value=TRUE, invert=FALSE),
binSize=binSize, strandType=strandType,
reverseComplement=TRUE, genomeBuild="mm9",
uniqueHit = TRUE, assignMultihits = TRUE,
rerunWithDisambiguatedMultihits = FALSE,
multicore=multicore, silentMain=FALSE, verbose=TRUE)
# with control
\verb|ripGR.wicontrol| <- seekRIP(mainSeekOutputRIP\$nbhGRList\$chrX, mainSeekOutputCTL\$nbhGRList)| \\
# without control
ripGR.wocontrol <- seekRIP(mainSeekOutputRIP$nbhGRList$chrX)</pre>
```

selectBinSize

Select optimal bin size based on Shimazaki formula

## **Description**

The function iteratively estimates the cost of increasing bin size within a defined range and finally selects the bin size with minimum cost.

# Usage

```
selectBinSize(alignGR, minBinSize, maxBinSize = 1000,
increment = 5, getFullResults = FALSE)
```

selectBinSize 63

## **Arguments**

alignGR GRanges object of alignments on a single chromosome minBinSize Minimum bin size to start with (Default: 5 \* read length)

maxBinSize Maximum bin size to end with (Default: 1000).

increment Number of bases to increment the bin size in searching for the optimal bin size

within the defined range (Default: 5).

getFullResults Binary indicator. If TRUE, the optimal bin size (with the minimum cost), min-

imum cost, and all of the bin sizes considered and their costs are returned. If

FALSE, only the optimal bin size is returned.

#### **Details**

Based on the preprocessed alignments for a chromosome, RIPSeeker divides the chromosome into bins of equal size b and compute the number of reads that b needs to be determined either empirically (e.g., based on the gel-selected length of the RNA fragment) or computationally. If the bin size is too small, the read counts fluctuates greatly, making it difficult to discern the underlying read count distribution. Additionally, input size to HMM increases as bin size decreases. A very small bin size results in a very long Markov chain of read counts to model, making the computation inefficient. On the other hand, if a bin size is too large, resolution becomes poor. Consequently, one cannot detect the local RIP region with subtle but intrinsic difference from the background, and the RIP regions tend to be too wide for designing specific primer for validation.

Intuitively, selecting an appropriate bin size for each chromosome is metaphorically equivalent to choosing an optimal intervals for building a histogram (Song, 2011). Here we implement the algorithm developed by Shimazaki and Shinomoto (2007), which is based on the goodness of the fit of the time histogram to estimate the rate of neural response of an animal to certain stimuli in a spike-in experiment. This approach has been successfully applied in a recently developed ChIP-seq program (Song and Smith, 2011). Algorithm 1 describes the pseudocode adapted from Shimazaki and Shinomoto (2007) that iteratively estimates the cost C of increasing bin size b within a defined range is outlined as follows.

For b = minBinSize to maxBinSize; do

- 1. Divide chromosome sequence into N bins of width b.
- 2. Count number of read counts  $x_i$  that enter the i'th bin.
- 3. Compute:  $\bar{x} = \frac{1}{N} \sum_{i=1}^{N} x_i$  and  $v = \frac{1}{N} \sum_{i=1}^{N} (x_i \bar{x})^2$ .
- 4. Compute:  $C(b) = \frac{2\bar{x}-v}{h^2}$

End For

Choose b that minimize C(b)

## Value

When getFullResults is TRUE, return a list containing:

bestBinSize the optimal bin size (with the minimum cost)

minCosts cost of the optimal bin size binSizes all of the bin sizes considered

costs all of the costs

When getFullResults is FALSE, only the optimal bin size (bestBinSize) is returned.

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#### Author(s)

Yue Li

#### References

Hideaki Shimazaki and Shigeru Shinomoto. A method for selecting the bin size of a time histogram. Neural computation, 19(6):1503-1527, June 2007.

Qiang Song and Andrew D. Smith. Identifying dispersed epigenomic domains from ChIP-Seq data. Bioinformatics (Oxford, England), 27(6):870-871, March 2011.

#### See Also

```
evalBinSize,binCount
```

```
# Retrieve system files
extdata.dir <- system.file("extdata", package="RIPSeeker")</pre>
bamFiles <- list.files(extdata.dir, ".bam$", recursive=TRUE, full.names=TRUE)</pre>
bamFiles <- grep("PRC2", bamFiles, value=TRUE)</pre>
alignGal <- getAlignGal(bamFiles[1], reverseComplement=TRUE, genomeBuild="mm9")</pre>
alignGR <- as(alignGal, "GRanges")</pre>
alignGRList <- GRangesList(as.list(split(alignGR, seqnames(alignGR))))</pre>
minBinSize <- 200
maxBinSize <- 1200
gr <- alignGRList$chrX</pre>
b <- selectBinSize(gr, minBinSize, maxBinSize, increment=100, getFullResults=TRUE)
plot(b$binSizes, b$costs)
chrname <- as.character(runValue(seqnames(gr)))</pre>
chrlen <- seqlengths(gr)[chrname]</pre>
legend("topright", box.lty=0,
sprintf("%s: 1-%d;\nTotal mapped reads: %d;\nOptimal bin size = %d bp",
chrname, chrlen, length(gr), b$bestBinSize))
```

statdis 65

statdis

Returns the stationary distribution of a Markov chain.

## **Description**

Given a transition matrix A, returns the stationary distribution of a Markov chain by computing the eigen vectors of A.

# Usage

```
statdis(A)
```

# **Arguments**

Α

Transition probability matrix, a squared matrix of probabilities ( $0 \le p \le 1$ ) with row and column length equal to that of alpha and beta and row sum and column sum both equal to 1 (within some numerical deviation of 1e-6).

## Value

W

Stationary weights for the distributions of K components based on the transition probability matrix.

# Author(s)

Yue Li

#### References

Capp\'e, O. (2001). H2M: A set of MATLAB/OCTAVE functions for the EM estimation of mixtures and hidden Markov models. (http://perso.telecom-paristech.fr/cappe/h2m/)

# See Also

```
nbh_em
```

```
# Simulate data
TRANS_s <- matrix(c(0.9, 0.1, 0.3, 0.7), nrow=2, byrow=TRUE)
alpha_s <- c(2, 4)
beta_s <- c(1, 0.25)
Total <- 100

x <- nbh_gen(TRANS_s, alpha_s, beta_s, Total);

count <- x$count
label <- x$label

Total <- length(count)

# dummy initialization</pre>
```

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```
TRANS0 <- matrix(rep(0.5,4), 2)
alpha0 <- c(1, 20)
beta0 <- c(1, 1)
NIT_MAX <- 50
TOL <- 1e-100
nbh <- nbh_em(count, TRANS0, alpha0, beta0, NIT_MAX, TOL)</pre>
map.accuracy <- length(which(max.col(nbh$postprob) == label))/Total</pre>
vit <- nbh_vit(count, nbh$TRANS, nbh$alpha, nbh$beta)</pre>
vit.accuracy <- length(which(vit$class == label))/Total</pre>
# Plot the marginal distribution (in the stationnary regime)
# Compute negative binomial distributions for all model states
t <- 0:max(count)
tmp <- nbh_em(t, nbh$TRANS, nbh$alpha, nbh$beta, 1)</pre>
dens <- tmp$dens
w <- statdis(nbh$TRANS)</pre>
# Plot estimate of marginal probabilities
marprob <- apply(t(dens) * (t(w) %*% matrix(1, ncol=length(t))), 2, sum)
plot(t, marprob, pch=8, col="blue", main="Estimated marginal distribution")
# Plot empirical estimated probabilities
dhist <- matrix(0, ncol=length(t))</pre>
for(i in t){
dhist[1+i] \leftarrow sum(count == i)/Total
}
points(t, dhist, pch=3, col="red")
```

viewRIP

Visualize peaks from UCSC genome browser.

## **Description**

Upload alignments, peaks, statistical scores to UCSC genome browser for comparative visualization of the results and data available in the UCSC database.

# Usage

```
viewRIP(seekedRIP, alignGR, alignGRCTL,
binGR = seekedRIP, scoreType = "eFDR",
cutoffLine = 0.001, displayALLChr = FALSE, ...)
```

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# Arguments

seekedRIP	GRangesList obtained from ripSeek. Each list item represents the RIP peaks on a chromosome accompanied with statistical scores including (read) count, logOddScore, pval, pvalAdj, eFDR for the RIP and control (if available). Please refer to seekRIP for more details.
alignGR	GRanges of read alignments for the RIP.
alignGRCTL	GRanges of read alignments for the control.
binGR	GRanges containing read count column corresponding to the peaks. By default, alignGR is used as binGR to display the read count in RIP condition.
scoreType	Type of statistical score to display as another track in the browser (Default: eFDR). eFDR/pval/pvalAdj is displayed at -log10 scale.
cutoffLine	Draw a cutoffline in the browser to indicate the significance level above which the peaks are considered significant.
displayALLChr	Binary indicator when TRUE upload and display the information for only one chromosome rather than upload all chromosomes (Default: TRUE).
	Extra arguments are ignored.

# **Details**

The function is a wrapper function of browserSession, track, and browserView.

#### Note

If input contain multiple chromosomes, then multiple browser window will be open to display each chromosome. A more user-friendly way is to upload all of the information to UCSC and open a single browser for visualization, which may become one of the new features in future release.

# Author(s)

Yue Li

## References

Michael Lawrence, Vince Carey and Robert Gentleman (). rtracklayer: R interface to genome browsers and their annotation tracks. R package version 1.16.3.

# See Also

```
ripSeek,browserSession,track,browserView
```

```
if(interactive()) { # need internet connection
# Retrieve system files
extdata.dir <- system.file("extdata", package="RIPSeeker")
bamFiles <- list.files(extdata.dir, ".bam$", recursive=TRUE, full.names=TRUE)
bamFiles <- grep("PRC2", bamFiles, value=TRUE)
cNAME <- "SRR039214" # specify control name</pre>
```

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```
# Parameters setting
binSize <- NULL # automatically determine bin size</pre>
minBinSize <- 10000 # min bin size in automatic bin size selection</pre>
maxBinSize <- 12000 # max bin size in automatic bin size selection</pre>
multicore <- TRUE # use multicore</pre>
seekOut <- ripSeek(bamPath=bamFiles, cNAME=cNAME,</pre>
binSize=binSize, minBinSize = minBinSize,
maxBinSize = maxBinSize, strandType=strandType,
silentMain=TRUE, verbose=FALSE,
reverseComplement=TRUE, genomeBuild="mm9",
uniqueHit = TRUE, assignMultihits = TRUE,
rerunWithDisambiguatedMultihits = TRUE, multicore=multicore)
viewRIP(seekOut$RIPGRList$chrX, seekOut$mainSeekOutputRIP$alignGalFiltered,
seekOut$mainSeekOutputCTL$alignGalFiltered, scoreType="eFDR")
}
```

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