# The RTopper package: perform run Gene Set Enrichment across genomic platforms

Luigi Marchionni Department of Oncology Johns Hopkins University email: marchion@jhu.edu

October 29, 2019

# Contents

1	Ove	erview	1
2	2 RTopper data structure		
	2.1	Creation of Functional Gene Sets	4
3	Dat	a analysis with RTopper	9
	3.1	Integrated Gene-to-Phenotype score computation	10
	3.2	Separate Gene-to-Phenotype score computation	10
	3.3	Gene Set Enrichment using integrated and separate score	11
	3.4	$INTEGRATION + GSE \ldots \ldots \ldots \ldots \ldots \ldots \ldots \ldots \ldots \ldots$	12
		3.4.1 One-sided Wilcoxon rank-sum test using absolute ranking statistics	12
		3.4.2 One-sided Wilcoxon rank-sum test using signed ranking statistics	12
		3.4.3 Performing a simulation-based GSE test	12
		3.4.4 Passing alternative enrichment functions to runBatchGSE	13
	3.5	$\operatorname{GSE} + \operatorname{INTEGRATION} \ \ldots \ $	15
	3.6	Multiple testing correction	16
4	System Information 1		
5	Ref	erences	19

# 1 Overview

Gene Set Enrichment (GSE) analysis has been widely use to assist the interpretation of gene expression data. We propose here to apply GSE for the integration of genomic data obtained from distinct analytical platform.

In the present implementation of the RTopper GSE analysis is performed using the geneSetTest function from the limma package [6, 5, 7]. This function enables testing the hypothesis that a specific set of genes (a Functional Gene Set, FGS) is more highly ranked on a given statistics. In

particular this functions computes a p-value for each FGS by one or two-sided Wilcoxon rank-sum test. Alternative user-defined functions can also be used.

Furthermore multiple hypothesis testing correction is achieved by applying the Benjamini and Hochberg method [2] as implemented in the multtest R/Bioconductor package. Overall, this approach is conceptually analogous to Gene Set Enrichment Analysis (GSEA), as proposed by Mootha and colleagues [4, 8].

The integration can be achieved through two distinct approaches:

- 1. **GSE** + **INTEGRATION**: Separate GSE analysism on the individual genomic platforms followed by GSE results integration;
- 2. **INTEGRATION** + **GSE**: Integration of genomic data measurement using a logistic model followed by GSE analysis;

# 2 RTopper data structure

In this tutorial we demonstrate the functionality of RTopper package. To this end we will make use of simplified data generated within The Cancer Genome Atlas (TCGA) project, using Glioblastoma Multiforme (GBM) genomics data obtained from the same patients' cohort using distinct platforms, including Differential Gene Expression (DGE), Copy Number Variation (CNV), and Differential Methylation (DM). This data is included with the RTopper package as the dataset exampleData, which consists of genomic measurements (the list dat) for 500 genes (in rows) and 95 patients (in columns) from 4 distinct platforms:

- 1. DGE obtained using Affymetrix;
- 2. DGE obtained using Agilent;
- 3. CNV data generated ad Harvard;
- 4. CNV data generated ad the MSKCC;

The phenotypic class for each patient is defined in the a data frame **pheno** consisting of 95 rows (patients, *pheno\$Sample*) and 2 columns, the first being patients identifiers, and the second variable giving the group indicator (*pheno\$Class*).

To load the data set type data(exampleData), and to view a description of this data type ?exampleData. The structure of the data is shown below:

```
> library(RTopper)
> data(exampleData)
> ls()
[1] "dat" "pheno"
> class(dat)
[1] "list"
> names(dat)
[1] "dat.affy" "dat.agilent"
[3] "dat.cnvHarvard" "dat.cnvMskcc"
```

```
> sapply(dat,class)
      dat.affy
                   dat.agilent dat.cnvHarvard
  "data.frame"
                  "data.frame"
                                 "data.frame"
  dat.cnvMskcc
  "data.frame"
> sapply(dat,dim)
     dat.affy dat.agilent dat.cnvHarvard
[1,]
          500
                       500
                                       500
[2,]
           95
                        95
                                        95
     dat.cnvMskcc
[1,]
              500
[2,]
               95
> dim(pheno)
[1] 95 2
> str(pheno)
'data.frame':
                      95 obs. of 2 variables:
                "TCGA.02.0003" "TCGA.02.0007" "TCGA.02.0011" "TCGA.02.0021" ...
 $ Sample: chr
                0 0 1 1 0 0 0 0 0 0 ...
 $ Class : int
```

In summary to perform the analysis with functions from RTopper the genomic data used as input must be in the following format:

- 1. **Genomic measurements**: a list of data.frames, in which each list item corresponds to a genomic platform, and comprises a data.frame with rows being genes and columns patients;
- 2. Phenotype data: a data.frame with 2 columns: patients and their phenotypes;
- 3. The number of columns of the *Genomic measurements* data.frames must match the number of rows of the *Phenotype data*;
- 4. The same set of genes must be measured in each platform and gene labels must be stored as rownames;

Below are shown the first 6 rows and 4 columns of each data frame contained in dat, which share the same genes (shown for some of the possible combinations). Similarly column names in the dat data frames correspond to rownames of pheno.

```
> ###data structure
> lapply(dat,function(x) head(x)[,1:3])
$dat.affy
      TCGA.02.0003 TCGA.02.0007 TCGA.02.0011
AACS
          7.747995
                        7.685409
                                     7.535661
AARS
          9.381544
                        9.930156
                                     10.197194
ABI1
                                     9.895811
          8.173255
                        8.962803
ACHE
          5.127197
                        4.547297
                                     5.146552
ACTC1
          6.612645
                        5.825879
                                     8.067945
```

5.330557

6.257383

ACTN2

5.842319

```
$dat.agilent
      TCGA.02.0003 TCGA.02.0007 TCGA.02.0011
AACS
        -1.0070000
                     -1.1164000
                                    -0.913000
AARS
        -1.2665000
                     -0.8981250
                                     0.263500
ABI1
        -0.2765000
                      0.3356250
                                     1.027250
ACHE
         0.4403750
                     -0.0222500
                                     0.115000
ACTC1
                      0.1234615
         0.3641538
                                     1.046692
ACTN2
         4.3348000
                      2.2278000
                                     3.330600
$dat.cnvHarvard
      TCGA.02.0003 TCGA.02.0007 TCGA.02.0011
AACS
       -0.08273213
                   -0.08917331
                                  -0.02075644
AARS
       -0.10233281
                    -0.20620608
                                  -0.05157664
ABI1
       -0.86886659
                    -0.01214599
                                   0.59307754
ACHE
        0.31560002
                    -1.00166150
                                  -0.14519639
ACTC1
       -1.17495078
                    -0.26698279
                                  -0.95662761
ACTN2 -0.11319016 -0.09657971
                                   0.02582138
$dat.cnvMskcc
      TCGA.02.0003 TCGA.02.0007 TCGA.02.0011
AACS
        -0.0383875 -0.09140000
                                  0.008233333
AARS
         0.0075600
                     0.02801667
                                  0.104850000
ABI1
        -0.7006900
                     0.21270000
                                  0.499472727
ACHE
         0.8676000
                    -0.23970000
                                  0.075000000
        -0.9779500
                    -0.11625000 -0.692950000
ACTC1
ACTN2
        -0.1258571
                    -0.05394444
                                 0.010200000
> sum(rownames(dat[[1]])%in%rownames(dat[[2]]))
[1] 500
> sum(rownames(dat[[2]])%in%rownames(dat[[3]]))
[1] 500
```

### 2.1 Creation of Functional Gene Sets

Functional Gene Sets (FGS) are list of genes that share a specific biological function. Examples of FGS are genes that operate in the same signaling pathway (*i.e.* Notch signaling genes), or that share the same biological function (*i.e.* Cell adhesion genes). FGS can be retrieved from various database, or can be constructed ad hoc. A convenient source of FGS are the R-Bioconductor metaData packages, and S4 classes and methods for handling FGS are provided by the GSEABase package. Below is shown a simple way to extract FGS from the human genome metaData package org.Hs.eg.db. As a general rule the name of the metaData package, without the .db extension, can be used a function to see the content of the package, as shown below:

```
> library(org.Hs.eg.db)
> org.Hs.eg()
```

### Quality control information for org. Hs.eg:

### This package has the following mappings:

```
org.Hs.egACCNUM has 40147 mapped keys (of 61217 keys)
org.Hs.egACCNUM2EG has 785907 mapped keys (of 785907 keys)
org.Hs.egALIAS2EG has 124367 mapped keys (of 124367 keys)
org. Hs. egCHR has 61047 mapped keys (of 61217 keys)
org.Hs.egCHRLENGTHS has 595 mapped keys (of 595 keys)
org.Hs.egCHRLOC has 28261 mapped keys (of 61217 keys)
org. Hs. egCHRLOCEND has 28261 mapped keys (of 61217 keys)
org.Hs.egENSEMBL has 26530 mapped keys (of 61217 keys)
org.Hs.egENSEMBL2EG has 29211 mapped keys (of 29211 keys)
org.Hs.egENSEMBLPROT has 6892 mapped keys (of 61217 keys)
org.Hs.egENSEMBLPROT2EG has 22384 mapped keys (of 22384 keys)
org. Hs. egENSEMBLTRANS has 7396 mapped keys (of 61217 keys)
org. Hs. egENSEMBLTRANS2EG has 34032 mapped keys (of 34032 keys)
org.Hs.egENZYME has 2230 mapped keys (of 61217 keys)
org.Hs.egENZYME2EG has 975 mapped keys (of 975 keys)
org. Hs. egGENENAME has 61217 mapped keys (of 61217 keys)
org. Hs. egGO has 20488 mapped keys (of 61217 keys)
org.Hs.egGO2ALLEGS has 22911 mapped keys (of 22911 keys)
org.Hs.egGO2EG has 18177 mapped keys (of 18177 keys)
org. Hs. egMAP has 58261 mapped keys (of 61217 keys)
org.Hs.egMAP2EG has 2030 mapped keys (of 2030 keys)
org. Hs. egOMIM has 15992 mapped keys (of 61217 keys)
org.Hs.egOMIM2EG has 21502 mapped keys (of 21502 keys)
org.Hs.egPATH has 5869 mapped keys (of 61217 keys)
org.Hs.egPATH2EG has 229 mapped keys (of 229 keys)
org. Hs. egPMID has 38087 mapped keys (of 61217 keys)
org.Hs.egPMID2EG has 632694 mapped keys (of 632694 keys)
org.Hs.egREFSEQ has 38815 mapped keys (of 61217 keys)
org.Hs.egREFSEQ2EG has 275081 mapped keys (of 275081 keys)
org.Hs.egSYMBOL has 61217 mapped keys (of 61217 keys)
org.Hs.egSYMBOL2EG has 61164 mapped keys (of 61164 keys)
org.Hs.egUCSCKG has 27184 mapped keys (of 61217 keys)
org. Hs. egUNIGENE has 26027 mapped keys (of 61217 keys)
org.Hs.egUNIGENE2EG has 29230 mapped keys (of 29230 keys)
org. Hs. egUNIPROT has 19134 mapped keys (of 61217 keys)
```

### Additional Information about this package:

DB schema: HUMAN\_DB
DB schema version: 2.1
Organism: Homo sapiens

Date for NCBI data: 2019-Jul10

```
Date for GO data: 2019-Jul10
Date for KEGG data: 2011-Mar15
Date for Golden Path data: 2019-Sep3
Date for Ensembl data: 2019-Jun24
```

For instance the org.Hs.egG02ALLEGS environment contains the mapping of all ENTREZ Gene identifiers to the **Gene Ontology Terms** [1], while org.Hs.egPATH2EG maps the identifiers to **KEGG** pathways [3]. The corresponding lists of FGS can be retrieve from the corresponding environments using the the R command as.list(), as shown below for KEGG and GO:

```
> kegg <- as.list(org.Hs.egPATH2EG)</pre>
> go <- as.list(org.Hs.egGO2ALLEGS)</pre>
> length(kegg)
[1] 229
> length(go)
[1] 22911
> str(kegg[1:5])
List of 5
 $ 04610: chr [1:69] "2" "462" "623" "624" ...
 $ 00232: chr [1:7] "9" "10" "1544" "1548" ...
 $ 00983: chr [1:52] "9" "10" "978" "1066" ...
 $ 01100: chr [1:1130] "9" "10" "15" "18" ...
 $ 00380: chr [1:42] "15" "26" "38" "39" ...
> names(kegg)[1:5]
[1] "04610" "00232" "00983" "01100" "00380"
> str(go[1:5])
List of 5
 $ GD:0000002: Named chr [1:36] "142" "291" "1763" "1890" ...
  ..- attr(*, "names")= chr [1:36] "IMP" "TAS" "IDA" "IMP" ...
 $ GO:0000003: Named chr [1:1737] "18" "49" "49" "49" ...
  ..- attr(*, "names")= chr [1:1737] "IEA" "IBA" "IEA" "IMP" ...
 $ GD:0000012: Named chr [1:15] "142" "3981" "7014" "7141" ...
  ..- attr(*, "names")= chr [1:15] "IGI" "IDA" "NAS" "IDA" ...
 $ GO:0000018: Named chr [1:120] "142" "604" "641" "940" ...
  ..- attr(*, "names")= chr [1:120] "IDA" "IEA" "IMP" "IEA" ...
 $ GD:0000019: Named chr [1:8] "2068" "4292" "4361" "7014" ...
  ..- attr(*, "names")= chr [1:8] "IBA" "IEA" "TAS" "IBA" ...
> names(go)[1:5]
[1] "GD:0000002" "GD:0000003" "GD:0000012"
[4] "GD:0000018" "GD:0000019"
```

In the kegg list genes are identified by their ENTREZ Gene identifiers, while in the dat genes are identified by their Gene Symbol. Below is an example of the code that can be used to perform the identifiers conversion, using only a subset of KEGG and GO FGS:

```
> kegg <- lapply(kegg[sample(1:length(kegg),5)],function(x) unique(unlist(mget(x,org.Hs.egSYMB)</pre>
> go <- lapply(go[sample(1:length(go),5)],function(x) unique(unlist(mget(x,org.Hs.egSYMBOL))))
> str(kegg)
List of 5
$ 04520: chr [1:73] "ACP1" "ACTB" "ACTG1" "ACTN4" ...
 $ 04623: chr [1:56] "ADAR" "POLR3D" "CASP1" "CHUK" ...
 $ 00280: chr [1:44] "ABAT" "ACAA1" "ACADM" "ACADS" ...
 $ 00512: chr [1:30] "GALNT1" "GALNT2" "GALNT3" "GCNT1" ...
 $ 04062: chr [1:189] "ADCY1" "ADCY2" "ADCY3" "ADCY5" ...
> str(go)
List of 5
$ GO:0090153: chr [1:16] "CCN1" "PRKAA1" "PRKCD" "SMPD2" ...
$ GO:0030366: chr [1:2] "MOCS2" "MBIP"
 $ GO:0032415: chr [1:6] "ACTN4" "DRD3" "DRD4" "SLC9A3R1" ...
 $ GO:0051668: chr [1:148] "ADAM10" "AP2B1" "AP0E" "ATP1B1" ...
 $ GO:0007193: chr [1:89] "ADCY1" "ADCY2" "ADCY3" "ADCY5" ...
Finally, it is also possible to annotate FGS, mapping pathways identifiers to pathway names, as
shown below for KEGG, using the KEGG.db.
> library(KEGG.db)
> KEGG()
Quality control information for KEGG:
This package has the following mappings:
KEGGENZYMEID2GO has 4178 mapped keys (of 4178 keys)
KEGGEXTID2PATHID has 75100 mapped keys (of 75100 keys)
KEGGGO2ENZYMEID has 5224 mapped keys (of 5224 keys)
KEGGPATHID2EXTID has 3152 mapped keys (of 3152 keys)
KEGGPATHID2NAME has 478 mapped keys (of 478 keys)
KEGGPATHNAME2ID has 478 mapped keys (of 478 keys)
Additional Information about this package:
DB schema: KEGG_DB
DB schema version: 2.1
Date for KEGG data: 2011-Mar15
> names(kegg) <- paste(names(kegg),unlist(mget(names(kegg),KEGGPATHID2NAME)),sep=".")</pre>
> names(kegg)
[1] "04520.Adherens junction"
[2] "04623.Cytosolic DNA-sensing pathway"
[3] "00280. Valine, leucine and isoleucine degradation"
[4] "00512.Mucin type O-Glycan biosynthesis"
```

### [5] "04062. Chemokine signaling pathway"

Similarly GO Terms can be retrieved from the GO.db (please refer to the vignettes of the corresponding packages for details).

```
> library(GO.db)
> GO()
```

Quality control information for GO:

This package has the following mappings:

GOBPANCESTOR has 29699 mapped keys (of 29699 keys)
GOBPCHILDREN has 17495 mapped keys (of 29699 keys)
GOBPOFFSPRING has 17495 mapped keys (of 29699 keys)
GOBPPARENTS has 29699 mapped keys (of 29699 keys)
GOCCANCESTOR has 4202 mapped keys (of 4202 keys)
GOCCCHILDREN has 1458 mapped keys (of 4202 keys)
GOCCOFFSPRING has 1458 mapped keys (of 4202 keys)
GOCCPARENTS has 4202 mapped keys (of 4202 keys)
GOMFANCESTOR has 11148 mapped keys (of 11148 keys)
GOMFCHILDREN has 2071 mapped keys (of 11148 keys)
GOMFOFFSPRING has 2071 mapped keys (of 11148 keys)
GOMFPARENTS has 11148 mapped keys (of 11148 keys)
GOMSOLETE has 2358 mapped keys (of 2358 keys)
GOTERM has 45050 mapped keys (of 45050 keys)

Additional Information about this package:

```
DB schema: GO_DB
DB schema version: 2.1
Date for GO data: 2019-Jul10
> names(go) <- paste(names(go), Term(names(go)), sep=".")
> names(go)
```

- [1] "GO:0090153.regulation of sphingolipid biosynthetic process"
- [2] "GO:0030366.molybdopterin synthase activity"
- [3] "GO:0032415.regulation of sodium:proton antiporter activity"
- [4] "GO:0051668.localization within membrane"
- [5] "GO:0007193.adenylate cyclase-inhibiting G protein-coupled receptor signaling pathway"

Finally we can be combine the two FGS collections into a named list for further used in GSE analysis (see below).

> fgsList <- list(go=go,kegg=kegg)</pre>

# 3 Data analysis with RTopper

To compute gene-to-phenotype association scores the first step required is the convertion of the data into a list, where each list item corresponds to a gene, and comprises a data frame with the rows being patients, and columns being measurements for each data type, along with the class phenotype (the response). Importantly each element of the list with the data should have the same genes and patients.

The convertToDr function is used to make such conversion. Below is a short description of the arguments to this function:

- dataIntersection: a list of data.frames containing the same set of patients(columns) and genes (rows)
- response: a data.frame indicating patients' phenotypic class;
- nPlatforms: the number of platforms;

This can be achieved as follows using our examples data:

```
> dataDr <- convertToDr(dat, pheno, 4)
> class(dataDr)
[1] "list"
> length(dataDr)
[1] 500
> names(dataDr)[1:5]
[1] "AACS" "AARS"
                    "ABI1"
                            "ACHE"
                                    "ACTC1"
> str(dataDr[1:2])
List of 2
 $ AACS:'data.frame':
                             95 obs. of 5 variables:
                    : num [1:95] 7.75 7.69 7.54 7.3 7.01 ...
  ..$ dat.affy
  ..$ dat.agilent
                    : num [1:95] -1.007 -1.116 -0.913 -1.061 -1.775 ...
  ..$ dat.cnvHarvard: num [1:95] -0.0827 -0.0892 -0.0208 -0.1811 -0.0625 ...
  ..$ dat.cnvMskcc : num [1:95] -0.03839 -0.0914 0.00823 0.03456 0.0573 ...
                    : int [1:95] 0 0 1 1 0 0 0 0 0 0 ...
  ..$ response
 $ AARS:'data.frame':
                             95 obs. of 5 variables:
  ..$ dat.affy
                    : num [1:95] 9.38 9.93 10.2 9.54 9.37 ...
                    : num [1:95] -1.266 -0.898 0.264 -0.599 -1.437 ...
  ..$ dat.agilent
  ..$ dat.cnvHarvard: num [1:95] -0.1023 -0.2062 -0.0516 -0.0923 -0.1199 ...
  ..$ dat.cnvMskcc
                   : num [1:95] 0.00756 0.02802 0.10485 0.0841 0.12262 ...
  ..$ response
                    : int [1:95] 0 0 1 1 0 0 0 0 0 0 ...
```

It is now possible to compute gene-to-phenotype association scores, using as input the gene-centered list produced by convertToDr. Therefore the computeDrStat function assumes that each gene-centered data.frame contains a column (the last one) called 'response', as created by the convertToDr. Below is a short description of the arguments to this function:

- data: a list of data.frames, one for each gene analyzed, contining the the genomic measurements from all platforms (by column) for all the patients (by row), along with the phenotypic response;
- columns: a numeric vector indicating column indexes corresponding the genomic measurements to be used for computing the gene-to-phenotype association scores; the default is columns = c(1:(ncol(data) 1)), assuming the phenotypic response to be the last column;
- method: the method used to compute the association score;
- integrate: logical, whether an integrated gene-to-phenotype score should be computed, or separate scores for each platform/data sets specified by columns;

In the current implementation of the RTopper there are three methods for computing gene-tophenotype association scores:

- 1. dev: this approach computes the score as the difference of deviances (as described in Tyekucheva et al, manuscript under review [9]);
- 2. aic: this approach computes the score as the Akaike information criterion for model selection;
- 3. bic: this approach computes the score as the penalized likelihood ratio;

## 3.1 Integrated Gene-to-Phenotype score computation

This approach first integrates genomic data across platform, and subsequently perform GSE to identify the FGS most strongly associated with the integrated score. Below is an example of application to compute the gene-to-phenotype association scores for 4 data type simultaneously:

```
> bicStatInt <- computeDrStat(dataDr, columns = c(1:4), method="bic", integrate = TRUE)
> names(bicStatInt)

[1] "integrated"
> str(bicStatInt)

List of 1
$ integrated: Named num [1:500] -11.43 -15.93 -8.85 -13.52 -7.26 ...
..- attr(*, "names")= chr [1:500] "AACS" "AARS" "ABI1" "ACHE" ...
```

# 3.2 Separate Gene-to-Phenotype score computation

This approach first computes computes gene-to-phenotype score separately for each platform, uses the scores to perform separate GSE analysis in each platform for identifying the FGS most strongly associated with the score, and finally integrates the results from GSE analysis, Below is an example of this approach:

```
> str(bicStatSep)
List of 4
$ dat.affy : Named num [1:500] 0.545 -4.269 -2.334 -4.471 -3.625 ...
    .. attr(*, "names")= chr [1:500] "AACS" "AARS" "ABI1" "ACHE" ...
$ dat.agilent : Named num [1:500] -3.57 -4.5 -3.66 -4.52 -1.05 ...
    .. attr(*, "names")= chr [1:500] "AACS" "AARS" "ABI1" "ACHE" ...
$ dat.cnvHarvard: Named num [1:500] -4.49 -3.64 3.13 -3.26 -2.57 ...
    .. attr(*, "names")= chr [1:500] "AACS" "AARS" "ABI1" "ACHE" ...
$ dat.cnvMskcc : Named num [1:500] -4.53 -4.48 2.1 -2.55 -4.25 ...
    .. attr(*, "names")= chr [1:500] "AACS" "AARS" "ABI1" "ACHE" ...
```

## 3.3 Gene Set Enrichment using integrated and separate score

After the gene-to-phenotype scores have been obtained it is possible to perform a GSE analysis. To this end we will use the runBatchGSE function, as shown below. This function enables to perform GSE analysis over multiple collections of FGS, and over multiple ranking statistics. In the current implementation of the runBatchGSE the default is performing the enrichment analysis using the geneSetTest function from the limma package, and most of the arguments passed to runBatchGSE are indeed passed to geneSetTest (see the relative help for the details).

As an alternative the user can also define his own function to test for FGS enrichment, passing the selection of genes within the FGS and the ranking ranking statistics in the same way as done for geneSetTest. In this tutorial we apply geneSetTest in order to perform a Wilcoxon rank-sum test, using the absolute value of the gene-to-phenotype scores as the ranking statistics.

```
> args(runBatchGSE)
function (dataList, fgsList, ...)
NULL
```

Below a short description of the arguments that can be passed to this function:

- dataList: a list containing gene-to-phenotype scores to be used as ranking statistics in the GSE analysis;
- fgsList: a list of FGS collection, in which each element is a list of character vectors, one for each gene set;
- ...: any other argument to be passed to lower level functions, including the lower level enrichment function to be used (like the geneSetTest function from the limma package, which is used as the default);
- absolute: logical specifying whether the absolute values of the ranking statistics should be used in the test (the default being TRUE);
- gseFunc: a function to perform GSE analysis, when not specified (the default) the gene-SetTest from the limma package is used. When a function is specified, the membership of the analyzed genes to a FGS, and the ranking statistics must be defined in the same way this is done for geneSetTest, and the new function must return an integer (usually a p-value) (see the help for geneSetTest in the limma package)

Below are few examples to perform Wilcoxon rank-sum test over multiple FGS collections, and over multiple ranking statistics, usin the runBatchGSE. To this end we will use the **KEGG** and **GO** collections created above, and the separate and integrated gene-to-phenotype scores computed using the computeDrStat. The output of this function is a named list of lists, containing an element for each ranking statistics considered in the input. Each one of these elements, in turn, is another list, containing the GSE results for each collection sets. In the examples below we will therefore obtain a list of length one in the case of the integrated gene-to-phenotype score, and a list of length four (on element for each genomic platform) in the case of the separate scores. For all the rankings we will obtain GSE result for both the collections of FGS.

### 3.4 INTEGRATION + GSE

The integrated gene-to-phenotype scores we have computed can be used to perform a GSE analysis. Below are reported few examples, using the default options, as well as passing several specific arguments to geneSetTest (see the relative help for details).

### 3.4.1 One-sided Wilcoxon rank-sum test using absolute ranking statistics

This can be accomplished by calling the runBatchGSE with default values, or by specifying each argument, as shown below:

### 3.4.2 One-sided Wilcoxon rank-sum test using signed ranking statistics

When the signed ranking statistics has a sign, it is possible to perform a one-sided test assensing both tails separately, as well as a two-sided test. This can be accomplished by passing the corresponding arguments to runBatchGSE, as shown below:

### 3.4.3 Performing a simulation-based GSE test

It is also possible to perform an enrichment analysis comparing each FGS to randomly selected gene lists of the same size of the FGS. In this case the p-value is computed by simulation as the proportion of times the mean of the statistics in the FGS is smaller (or larger) than in the nsim random simulated sets of genes.

```
ranks.only=FALSE, nsim=1000)
> gseUPsim.int <- runBatchGSE(dataList=bicStatInt, fgsList=fgsList,
                                                                                                                 absolute=FALSE, type="t", alternative="up",
                                                                                                                 ranks.only=FALSE, nsim=1000)
Results from this analysis are named lists of lists, as shown below:
> str(gseUP.int)
List of 1
   $ integrated:List of 2
      ..$ go : Named num [1:5] NA NA 0.412 0.73 0.825
      ...- attr(*, "names")= chr [1:5] "GO:0090153.regulation of sphingolipid biosynthetic proces
      ..$ kegg: Named num [1:5] 0.643 0.189 0.408 NA 0.223
      ....- attr(*, "names")= chr [1:5] "04520.Adherens junction" "04623.Cytosolic DNA-sensing patential content of the content of t
> gseABSsim.int
$integrated
$integrated$go
                                                                              GO:0090153.regulation of sphingolipid biosynthetic process
                                                                                                                             GO:0030366.molybdopterin synthase activity
                                                                              GO:0032415.regulation of sodium:proton antiporter activity
                                                                                                                                                                                                                                 0.5664336
                                                                                                                                      GO:0051668.localization within membrane
                                                                                                                                                                                                                                 0.3506494
GO:0007193.adenylate cyclase-inhibiting G protein-coupled receptor signaling pathway
                                                                                                                                                                                                                                 0.2107892
$integrated$kegg
                                                                           04520. Adherens junction
                                                                                                                     0.3716284
                                      04623.Cytosolic DNA-sensing pathway
```

0.7662338 00280. Valine, leucine and isoleucine degradation 0.5124875  ${\tt OO512.Mucin\ type\ O-Glycan\ biosynthesis}$ 04062. Chemokine signaling pathway 0.8151848

### Passsing alternative enrichment functions to runBatchGSE

Below is show how to define and pass alternative enrichment functions to runBatchGSE. We will first show how to use the limma wilcoxGST function, which is a synonym for geneSetTest using ranks.only=TRUE and type="t".

```
> library(limma)
> gseUP.int.2 <- runBatchGSE(dataList=bicStatInt, fgsList=fgsList,
                                   absolute=FALSE, gseFunc=wilcoxGST, alternative="up")
```

As shown below this approach will return the same results obtained with geneSetTest passing appropriate arguments.

```
> str(gseUP.int.2)
```

### List of 1

```
$ integrated:List of 2
 ..$ go : Named num [1:5] NA NA 0.412 0.73 0.825
```

...- attr(\*, "names")= chr [1:5] "GO:0090153.regulation of sphingolipid biosynthetic proces ..\$ kegg: Named num [1:5] 0.643 0.189 0.408 NA 0.223

...- attr(\*, "names")= chr [1:5] "04520.Adherens junction" "04623.Cytosolic DNA-sensing patential content of the content of th

> all(gseUP.int.2\$go==gseUP.int\$go)

# [1] TRUE

We can finally also pass any new user-defined enrichment function, provided that the arguments are passed in the same way as with geneSetTest, as shown below using the Fisher's exact test, and a threshold for defining the list of differentially expressed genes.

```
> gseFunc <- function (selected, statistics, threshold) {
          diffExpGenes <- statistics > threshold
          tab <- table(diffExpGenes, selected)
          pVal <- fisher.test(tab)[["p.value"]]</pre>
          }
> gseUP.int.3 <- runBatchGSE(dataList=bicStatInt, fgsList=fgsList,
                                    absolute=FALSE, gseFunc=gseFunc, threshold=7.5)
```

As shown below this approach will test for over-represtation of the a specific gene set within the genes defined as differentially expressed (in our example the genes showing an integrated association score larger than 7.5). Results are somewhat comparable to what obtained using the Wilcoxon rank-sum test.

```
> str(gseUP.int.3)
```

### List of 1

```
$ integrated:List of 2
```

```
..$ go : Named num [1:5] NA NA 1 1 1
```

... - attr(\*, "names")= chr [1:5] "GO:0090153.regulation of sphingolipid biosynthetic proces ..\$ kegg: Named num [1:5] 1 1 1 NA 1

... - attr(\*, "names")= chr [1:5] "04520.Adherens junction" "04623.Cytosolic DNA-sensing patential content of the content of t

> data.frame(fisher=gseUP.int.3\$integrated\$kegg,wilcoxon=gseUP.int\$integrated\$kegg)

	fisher
04520.Adherens junction	1
04623.Cytosolic DNA-sensing pathway	1
00280. Valine, leucine and isoleucine degradation	1
00512.Mucin type O-Glycan biosynthesis	NA
04062. Chemokine signaling pathway	1

```
wilcoxon 04520.Adherens junction 0.6425715 04623.Cytosolic DNA-sensing pathway 0.1894612 00280.Valine, leucine and isoleucine degradation 0.4080877 00512.Mucin type O-Glycan biosynthesis NA 04062.Chemokine signaling pathway 0.2226518
```

### $3.5 \quad GSE + INTEGRATION$

The individual gene-to-phenotype scores computed for each platform can be similarly used to perform separate GSE analyses for each considered genomic platform, applying the same code and functions used to perform GSE analysis in the **INTEGRATION** + **GSE** approach above.

```
> gseABS.sep <- runBatchGSE(dataList=bicStatSep, fgsList=fgsList)
```

> gseABS.geoMean.sep <- combineGSE(gseABS.sep, method="geometricMean")

This step of GSE analysis on separate platform is then followed by GSE results integration, which is achieved using the combineGSE function, which summarizes the individual p-values from the tests. To this end different methods are available, including the computation of the geometric or arithmetic means, the use of the median, the selection of the minimum or the maximum p-value, and the random selection (respectively geometricMean, mean, median, min, max, and random). Few examples are shown below:

```
> gseABS.max.sep <- combineGSE(gseABS.sep, method="max")
Also in this case the results from the combination are named lists of lists, as shown below:
> names(gseABS.sep)
[1] "dat.affy"
                                                                    "dat.agilent"
[3] "dat.cnvHarvard" "dat.cnvMskcc"
> str(gseABS.sep)
List of 4
  $ dat.affy
                                                      :List of 2
      ..$ go : Named num [1:5] NA NA 0.207 0.204 0.379
      ... - attr(*, "names")= chr [1:5] "GO:0090153.regulation of sphingolipid biosynthetic proces
      ..$ kegg: Named num [1:5] 0.869 0.883 0.815 NA 0.171
      ... - attr(*, "names")= chr [1:5] "04520.Adherens junction" "04623.Cytosolic DNA-sensing pat
   $ dat.agilent
                                                     :List of 2
      ..$ go : Named num [1:5] NA NA 0.74 0.238 0.329
      ... - attr(*, "names")= chr [1:5] "GO:0090153.regulation of sphingolipid biosynthetic proces
       ..$ kegg: Named num [1:5] 0.789 0.917 0.756 NA 0.608
      ....- attr(*, "names")= chr [1:5] "04520.Adherens junction" "04623.Cytosolic DNA-sensing patential content of the content of t
   $ dat.cnvHarvard:List of 2
```

...- attr(\*, "names")= chr [1:5] "GO:0090153.regulation of sphingolipid biosynthetic proces

...- attr(\*, "names")= chr [1:5] "04520.Adherens junction" "04623.Cytosolic DNA-sensing patential content of the content of th

..\$ go : Named num [1:5] NA NA 0.846 0.576 0.696

\$ dat.cnvMskcc :List of 2

..\$ kegg: Named num [1:5] 0.0907 0.1114 0.5668 NA 0.6625

```
..$ go : Named num [1:5] NA NA 0.146 0.583 0.155
          ... - attr(*, "names")= chr [1:5] "GO:0090153.regulation of sphingolipid biosynthetic proces
          ..$ kegg: Named num [1:5] 0.131 0.246 0.266 NA 0.974
          ...- attr(*, "names")= chr [1:5] "04520.Adherens junction" "04623.Cytosolic DNA-sensing patential content of the content of th
> str(gseABS.geoMean.sep)
List of 1
     $ combinedScore:List of 2
          ..$ go : Named num [1:5] NA NA 0.371 0.357 0.34
          ... - attr(*, "names")= chr [1:5] "GO:0090153.regulation of sphingolipid biosynthetic proces
          ..$ kegg: Named num [1:5] 0.301 0.386 0.552 NA 0.509
          ...- attr(*, "names")= chr [1:5] "04520.Adherens junction" "04623.Cytosolic DNA-sensing patential content of the content of th
> gseABS.geoMean.sep
$combinedScore
$combinedScore$go
                                                                                                                           GO:0090153.regulation of sphingolipid biosynthetic process
                                                                                                                                                                                                       GO:0030366.molybdopterin synthase activity
                                                                                                                           GO:0032415.regulation of sodium:proton antiporter activity
                                                                                                                                                                                                                                                                                                                                                                    0.3709039
                                                                                                                                                                                                                     GO:0051668.localization within membrane
                                                                                                                                                                                                                                                                                                                                                                    0.3571381
GO:0007193.adenylate cyclase-inhibiting G protein-coupled receptor signaling pathway
                                                                                                                                                                                                                                                                                                                                                                    0.3404073
$combinedScore$kegg
                                                                                                                      04520. Adherens junction
                                                                                                                                                                                         0.3005349
```

04623.Cytosolic DNA-sensing pathway 0.3861082 00280. Valine, leucine and isoleucine degradation 0.5520878 00512. Mucin type O-Glycan biosynthesis 04062. Chemokine signaling pathway 0.5088239

### 3.6 Multiple testing correction

Finally the adjustPvalGSE enables to adjust the p-values computed by the runBatchGSE. This functions is an interface to the mt.rawp2adjp function from the multtest package.

```
> gseABS.int.BH <- adjustPvalGSE(gseABS.int)</pre>
> gseABS.int.holm <- adjustPvalGSE(gseABS.int, proc = "Holm")
```

Also in this case the results after the adjustment are named lists of lists, as shown below:

```
> names(gseABS.int.BH)
[1] "integrated"
> names(gseABS.int.holm)
[1] "integrated"
> str(gseABS.int.BH)
List of 1
 $ integrated:List of 2
  ..$ go : num [1:5, 1:2] NA NA 0.593 0.276 0.179 ...
  ....- attr(*, "dimnames")=List of 2
  .....$ : chr [1:5] "GO:0090153.regulation of sphingolipid biosynthetic process" "GO:003036
  .....$ : chr [1:2] "rawp" "BH"
  ..$ kegg: num [1:5, 1:2] 0.361 0.814 0.597 NA 0.786 ...
  ...- attr(*, "dimnames")=List of 2
  .....$: chr [1:5] "04520.Adherens junction" "04623.Cytosolic DNA-sensing pathway" "00280
  .....$ : chr [1:2] "rawp" "BH"
> str(gseABS.int.holm)
List of 1
 $ integrated:List of 2
  ..$ go : num [1:5, 1:2] NA NA 0.593 0.276 0.179 ...
  ...- attr(*, "dimnames")=List of 2
  .....$: chr [1:5] "GO:0090153.regulation of sphingolipid biosynthetic process" "GO:003036
  .....$ : chr [1:2] "rawp" "Holm"
  ..$ kegg: num [1:5, 1:2] 0.361 0.814 0.597 NA 0.786 ...
  ...- attr(*, "dimnames")=List of 2
  .....$ : chr [1:5] "04520.Adherens junction" "04623.Cytosolic DNA-sensing pathway" "00280
  .....$ : chr [1:2] "rawp" "Holm"
    System Information
Session information:
```

```
> sessionInfo()
R version 3.6.1 (2019-07-05)
Platform: x86_64-pc-linux-gnu (64-bit)
Running under: Ubuntu 18.04.3 LTS
Matrix products: default
        /home/biocbuild/bbs-3.10-bioc/R/lib/libRblas.so
LAPACK: /home/biocbuild/bbs-3.10-bioc/R/lib/libRlapack.so
locale:
 [1] LC_CTYPE=en_US.UTF-8
 [2] LC_NUMERIC=C
```

- [3] LC\_TIME=en\_US.UTF-8
- [4] LC\_COLLATE=C
- [5] LC\_MONETARY=en\_US.UTF-8
- [6] LC\_MESSAGES=en\_US.UTF-8
- [7] LC\_PAPER=en\_US.UTF-8
- [8] LC\_NAME=C
- [9] LC\_ADDRESS=C
- [10] LC\_TELEPHONE=C
- [11] LC\_MEASUREMENT=en\_US.UTF-8
- [12] LC\_IDENTIFICATION=C

# attached base packages:

- [1] stats4 parallel stats graphics
- [5] grDevices utils datasets methods
- [9] base

### other attached packages:

- [1] limma\_3.42.0 GO.db\_3.10.0
- [3] KEGG.db\_3.2.3 org.Hs.eg.db\_3.10.0
- [5] AnnotationDbi\_1.48.0 IRanges\_2.20.0
- [7] S4Vectors\_0.24.0 RTopper\_1.32.0
- [9] Biobase\_2.46.0 BiocGenerics\_0.32.0

### loaded via a namespace (and not attached):

- [1] Rcpp\_1.0.2 splines\_3.6.1
- [3] MASS\_7.3-51.4 bit\_1.1-14
- [5] lattice\_0.20-38 rlang\_0.4.1
- [7] blob\_1.2.0 tools\_3.6.1
- [9] grid\_3.6.1 DBI\_1.0.0
- [11] digest\_0.6.22 multtest\_2.42.0
- [13] survival\_2.44-1.1 bit64\_0.9-7
- [15] tibble\_2.1.3 crayon\_1.3.4
- [17] Matrix\_1.2-17 vctrs\_0.2.0
- [19] zeallot\_0.1.0 memoise\_1.1.0
- [21] RSQLite\_2.1.2 compiler\_3.6.1
- [23] pillar\_1.4.2 backports\_1.1.5
- [25] pkgconfig\_2.0.3

# 5 References

# References

- [1] M. Ashburner, C. A. Ball, J. A. Blake, D. Botstein, H. Butler, J. M. Cherry, A. P. Davis, K. Dolinski, S. S. Dwight, J. T. Eppig, M. A. Harris, D. P. Hill, L. Issel-Tarver, A. Kasarskis, S. Lewis, J. C. Matese, J. E. Richardson, M. Ringwald, G. M. Rubin, and G. Sherlock. Gene ontology: tool for the unification of biology. The Gene Ontology Consortium. *Nat Genet*, 25(1):25–9, 2000. 1061-4036 (Print) Journal Article.
- [2] Y. Benjamini and Y. Hochberg. Controlling the false discovery rate: a practical and powerful approach to multiple testing. *Journal of the Royal Statistical Society Series B*, 57:289–300, 1995.
- [3] M. Kanehisa, S. Goto, S. Kawashima, Y. Okuno, and M. Hattori. The KEGG resource for deciphering the genome. *Nucleic Acids Res*, 32(Database issue):D277–80, 2004. 1362-4962 (Electronic) Journal Article.
- [4] V. K. Mootha, C. M. Lindgren, K. F. Eriksson, A. Subramanian, S. Sihag, J. Lehar, P. Puigserver, E. Carlsson, M. Ridderstrale, E. Laurila, N. Houstis, M. J. Daly, N. Patterson, J. P. Mesirov, T. R. Golub, P. Tamayo, B. Spiegelman, E. S. Lander, J. N. Hirschhorn, D. Altshuler, and L. C. Groop. PGC-1alpha-responsive genes involved in oxidative phosphorylation are coordinately downregulated in human diabetes. *Nat Genet*, 34(3):267–273, 2003. 1061-4036 (Print) Journal Article.
- [5] G. K. Smyth. Linear models and empirical Bayes methods for assessing differential expression in microarray experiments. Statistical Applications in Genetics and Molecular Biology, 3(Article 3), 2004.
- [6] G. K. Smyth. Limma: linear models for microarray data. In R. Gentleman, R. V. Carey, S. Dudoit, R. Irizarry, and W. Huber, editors, *Bioinformatics and Computational Biology Solutions using R and Bioconductor*, pages 397–420. Springer, New York, 2005.
- [7] G. K. Smyth, J. Michaud, and H. S. Scott. Use of within-array replicate spots for assessing differential expression in microarray experiments. *Bioinformatics*, 21(9):2067–75, 2005. 1367-4803 (Print) Evaluation Studies Journal Article Validation Studies.
- [8] Aravind Subramanian, Pablo Tamayo, Vamsi K Mootha, Sayan Mukherjee, Benjamin L Ebert, Michael A Gillette, Amanda Paulovich, Scott L Pomeroy, Todd R Golub, Eric S Lander, and Jill P Mesirov. Gene set enrichment analysis: a knowledge-based approach for interpreting genome-wide expression profiles. Proc Natl Acad Sci U S A, 102(43):15545–15550, Oct 2005.
- [9] Svitlana Tyekucheva, Luigi Marchionni, Rachel Karchin, and Giovanni Parmigiani. Integrating diverse genomic data using gene sets. *Genome Biology (in press)*, 2011.